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SPECIFIC AGREEMENT

1. Name of Programme/Project:	" Forest Finance Leveraged for High Integrity in the Amazon" (FOLHA) as described in the Project Document (Forest Finance Leveraged for High Integrity in the Amazon - FOLHA Funding Application) dated 12th December 2025 (hereinafter the "Project")
2. Agreement number	UN ref. no: 01005312-BRA/25/032, the Donor ref. no BRA-25/0028
3. Agreement Start Date:	Upon entry into force in accordance with paragraph 24, below.
4. Support Period	From 18.12.2025 to 31.12.2028
5. Agreement End Date:	The Agreement shall expire on 31.12.2028 with the completion of the Project. However, the obligations of the Parties under the Agreement will remain in force until all obligations arising from it have been fulfilled and all commitments and liabilities incurred in the implementation of the Project have been met. The Recipient shall notify the Donor when all activities related to the Project have been completed.
6. Donor:	The Norwegian Ministry of Foreign Affairs
7. Recipient:	United Nations Development Programme "UNDP"
8. Contribution Currency/Amount:	Not exceeding NOK: 81'839'255 NOK
9. Payment (to be made upon written payment request):	☒ The Contribution will be transferred in accordance with the following indicative disbursement plan:

	Tentative payment due date	**NOK / Amount**
1.	Transferred upon signature of this agreement	26.070.500,00
2.	31.07.2026	6.243.395,00
3.	31.01.2027	16.227.250,00
4.	31.07.2027	12.346.189,00
5.	31.01.2028	12.346.190,00
6.	31.07.2028	8.605.731,00
Total		**81.839.255,00**

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9.a. Coordination Levy:	Pursuant to paragraph 10(a) of United Nations General Assembly Resolution 72/279 of 31 May 2018, the Donor agrees that an amount corresponding to 1% of the contribution to the Recipient shall be paid to fund the United Nations Resident Coordinator System. This amount, hereinafter referred to as the "coordination levy" will be held in trust by the Recipient until transfer to the United Nations Secretariat for deposit into the United Nations Special Purpose Trust Fund for the reinvigorated Resident Coordinator system, which has been established to fund the UN Resident Coordinator System and is managed by the United Nations Secretariat. The Donor acknowledges that once the coordination levy has been transferred by the Recipient to the United Nations Secretariat, the Recipient is not responsible for the use of the coordination levy and does not assume any liability. The fiduciary responsibility lies with the United Nations Secretariat as the manager of the Resident Coordinator system. The coordination levy does not form part of the Recipient's cost recovery and is additional to the costs of the Recipient to implement the activity or activities covered by the contribution. Accordingly, there is no normal obligation for the Recipient to refund the levy, in part or in full, even where the activities covered by the contribution are not carried out in full by the Recipient. As deemed necessary by the Donor – and especially where the scale of the resources concerned, or reputational risk justify the refund transaction costs – the donor can submit a request for refund to the United Nations Secretariat directly or through the Recipient. The responsibility to refund the levy lies with the United Nations Secretariat, and not with the Recipient. The coordination levy for this agreement is 810'290 NOK. The tentative payment schedule, refer to article 9, provides the breakdown of the disbursements of the coordination levy and the payments of the contribution.
10. UNDP Bank Account:	Bank name: DNB Bank ASA Bank address: Stranden 21, Aker Brygge, 0021 Oslo, Norway Account title: UNDP Contributions (NOK) Account Account No.: 70010243287 IBAN/SWIFT: NO4370010243287 / DNBANOKK
11. Recovery Rate for Indirect Costs: (enter Executive Board decision authorizing recovery rate)	8 % of the Contribution charged on the basis of the total expenses incurred from the Contribution.
12. Project Description and Budget	The Project description and budget are included in Annex I.

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13. Remittance Advice to be sent to:	contributions@undp.org
14. Confirmation of Remittance Receipt to be sent to: *(the applicable Donor. Please specify the address at unit/division-level, and not to a specific person)*	Royal Embassy of Norway in Brasilia SES, Avenida das Nações, Quadra 807, Lote 28, CEP 70418-900 Brasília – DF Phone: +55 61 3521 9800 E-mail: emb.brasilia@mfa.no
15. Consultations:	☒ Annual, tentatively in month of 2nd quarter. ☐ Other: Unless otherwise agreed, the Recipient shall convene and chair the consultations. The Recipient shall draft agreed minutes of the meeting and submit these within 3 weeks to the Donor for comments and approval. Each Party shall bear their own costs for participating in such consultations. The purpose of these consultations is to: a) review the progress of the Project b) discuss possible revisions of plans and budgets c) discuss issues of special concern for the implementation of the Project. The documents specified in the Framework Agreement (hereinafter the Framework Agreement) Articles V, VI and VII shall form the basis for the Annual Consultations.
16. Additional reporting:	N/A
17. Sexual Exploitation, Abuse and Sexual Harassment (SEAH)	The Parties have a zero tolerance for inaction approach to tackling sexual exploitation and abuse ("SEA") and sexual harassment ("SA"), as included in Annex III.
18. Contact Persons:	(a) For the Donor: Name: Rafael Volochen Title: Senior Climate and Forest Advisor Email: Rafael.Volochen@mfa.no Telephone: +55 61 3521 9800

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(b) For the Recipient:
Name: Luana Lopes
Title: Programme Specialist
Email: Luana.lopes@undp.org
Telephone: +55 61 3038-9183

19. Annexes: Annex I: Project description, including Results Framework, Budget; and Description of Risk Management.
Annex II: Copy of the Framework Agreement.
Annex III: Sexual Exploitation, Abuse and Sexual Harassment
Annex IV: Coordination Levy

20. The Donor agrees to make the Contribution to the Recipient (together with the Donor referred to as the "Parties"), in accordance with the terms of this Specific Agreement and the Framework Agreement for the Project activities as specified in the Project description document.

21. In the event that the Contribution is disbursed by the Donor subject to a tentative payment schedule as set out paragraph 9 above, the Parties agree that such schedule shall be subject to the principle that payments must be received by the Recipient in advance of any implementation of Project activities. The tentative payment schedule may be amended as agreed between the Parties in writing, to ensure that it remains consistent with the progress of the Project. If payments are not received in accordance with the payment schedule, the Recipient reserves the right to reduce, suspend or discontinue the Project.

22. This Specific Agreement constitutes an agreement supplementary to the Framework Agreement between the Parties. The terms of the Framework Agreement constitute an integral part of this Specific Agreement.

23. This Specific Agreement may be modified by written agreement between the Parties. It may be terminated by mutual agreement of the Parties or by one Party providing to the other Party a written notice of termination of not less than 30 calendar days. Upon receipt by one Party of the other Party's written notice of termination, the Parties will take all reasonable and necessary measures to conclude the implementation of the Project and complete their activities in an orderly manner. The Recipient may apply any unutilized portion of the Contribution up to the date of termination to permit the orderly conclusion of the Project, including the completion of final reports, the withdrawal of personnel, funds and property, the settlement of accounts between the Parties, and the settlement of contractual commitments or liabilities relating to or in connection with the Project, including in respect of any implementing partners, contractors, subcontractors, consultants or suppliers.

24. Any unspent funds that according to the Framework Agreement shall be repaid to the Donor, shall be marked: "Unused funds from: (specify agreement number), (specify agreement name), and repaid to the following bank account:

Name of the account: EMBAIXADA REAL DA NORUEGA
CNPJ: 03.668.742/0001-88
Bank: Banco Rendimento 633
Account no.: 445320000-6, Agência 0003
IBAN no.: N/A

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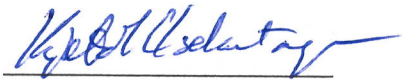
Swift/BIC code: N/A

The Recipient shall inform the Donor when a repayment is made via e-mail message with remittance information to UNDP stating the agreement number.

25. This Specific Agreement will enter into force on the date it is signed for and on behalf of both Parties.
26. In witness whereof, the undersigned, acting on behalf of their respective Parties, have signed the present Agreement in the English language in two copies.

ROYAL NORWEGIAN EMBASSY IN BRASILIA:

KJETIL ELSEBUTANGEN

Signature: 

Title: Ambassador, Royal Norwegian Embassy in Brasilia

Date: 18/12/2025

FOR THE UNITED NATIONS DEVELOPMENT PROGRAMME:

CLAUDIO PROVIDAS

Signature: Signed by: Claudio Providas D9C12626EEB14B5...

Title: Resident Representative, UNDP Brazil

Date: 18/12/2025

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ANNEX 1

The Norwegian International Climate and Forest Initiative (NICFI)

Funding Application

Project Name: Forest Finance Leveraged for High Integrity in the Amazon - FOLHA

Submission Date: 12th December 2025

Description of Applicant and Request for Funds

1. Full name of applicant organization: United Nations Development Programme (UNDP)
2. Legal status: International Organization
3. Year of establishment: 1965
4. Contact e-mail: luana.lopes@undp.org; leticia.guimaraes@undp.org
5. Location of Head Office: One UN Plaza, New York, NY 10017 United States of America
6. Website: www.undp.org
7. Annual income of the organization over the last three years (in NOK), including income source(s): *N/A*
8. If you have previously received funding from the Norwegian government (NICFI funding or otherwise), please state name of the project, the benefactor (Norad, which Ministry or embassy) and whether the project is ongoing or finalized.

Name of Project	Benefactor	Status
Governors' Climate and Forests Task Force (GCFTF)	NICFI, through Norad	Ongoing
Forests and Climate Leader's Partnership (FCLP)	NICFI, through Norad	Finalized
UN-REDD Programme	NICFI	Ongoing

** Please note that this list is focused on funding in the area of REDD+ and is not exhaustive.*

9. **Request for funds, stating total amount per year in NOK:**

   Total amount of 81'839'255 NOK over a three-year period (2026-2028).

Project Outline

10. **Project title:**

    Forest Finance Leveraged for High Integrity in the Amazon (FOLHA)

11. **Background and justification for the project, including link to other relevant initiatives/projects/national priorities – when relevant and depending on type of project**

    Over the past decade, the United Nations Development Program (UNDP) has been working on the design and implementation of the climate and forest agenda in Brazil and in the region at different levels, providing substantive support to both federal and state governments in building and operationalizing public policies for reducing emissions from deforestation and forest degradation and boosting conservation, promoting sustainable forest management, and increasing forest carbon stocks (REDD+).

    Brazil's REDD+ policy agenda consists of the country's National REDD+ Strategy (ENREDD+ for its acronym in Portuguese) with its National REDD+ Commission (CONAREDD+) and related technical

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working groups on various topics, the Forest Reference Emission Level (FREL) under the country's National Forest Monitoring System (NFMS) as well as a National Safeguards Information System (SISREDD+) and benefit-sharing. These policies are built upon and are supported by a legal and policy framework, specifically plans to prevent and reduce deforestation and forest fires in the Amazon (PPCDAm), other biomes and states (PPCD), all contributing to the country's land and forest related emission reduction goals enshrined in the Nationally Determined Contribution (NDC). Brazil recently approved a law on a national carbon trading system (SBCE for its acronym in Portuguese), which still requires regulations to be fully operationalized.

With the federal government, state governments, and civil society, UNDP is working to support this policy agenda. In partnership with the Ministry of the Environment and Climate Change (MMA) with resources from the Green Climate Fund (GCF) UNDP is implementing the project **"REDD+ results-based payments for results achieved by Brazil in the Amazon biome in 2014 and 2015" (Floresta+)**. The project to develop a pilot to incentivize environmental services for the conservation and recovery of native vegetation and at strengthening the implementation of ENREDD+ in Brazil by improving its structure and governance systems.

Through four modalities to reward those who protect and recover the forest the project provides direct payments to smallholder producers with the aim to conserve remnants of native vegetation in addition to the legal requirements (Conservation), supports smallholder producers with projects of reforestation projects and environmental regularization (Recuperation), supports Indigenous Peoples and traditional peoples and communities through projects aimed at strengthening the environmental and territorial management of their collective territories (Communities) and supports innovative actions and measures capable of developing and leveraging the implementation of public policies for the conservation and recovery of native vegetation (Innovation) in the Brazilian Amazon region. Beyond that, the Project strengthens the ENREDD+ and its measurement, reporting and verification (MRV) systems, its SISREDD+ as well as participatory mechanisms and South-South cooperation on climate change and forests.

On the jurisdictional level, UNDP supported the nine states of the Brazilian Amazon region with funding from the Government of Norway to the Governors' Climate & Forests Task Force (GCFTF) from 2018 – 2025. Under this initiative, UNDP has worked with partners from civil society and state governments from the Legal Amazon region to develop REDD+ strategies and action plans as basis for effective deforestation control and public policies for conservation. Also, UNDP provided substantive technical assistance on carbon accounting, benefit sharing as well as social and environmental safeguards that enabled the Brazilian Amazon states to make substantial progress in their compliance with the ART / TREES standard. This included the promotion of dialogues, exchanging experiences, and forging of agreements on benefit sharing, safeguards, MRV and nesting within working groups at state (state-body collegiate bodies), regional (Amazon states technical working groups) and national level (CONAREDD+ and associated technical working groups). UNDP developed ART-TREES compliant and fully FREL-aligned crediting levels for the nine states' jurisdictional REDD+ programs and supported the development of social and environmental safeguards assessments and systems, as main inputs for TREES concept notes and registration documents and basis for the signature of emission reduction purchase agreements (ERPAs) with the LEAF Coalition.

FOLHA support will be provided to subnational jurisdiction in the Amazon and other biomes that haven shown progress regarding one or several of the following criteria:

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i) Progress in the ART/TREES and/or Emergent project cycle, with finalization and/or advanced versions of relevant documents [TREES Concept Note (TCN), TREES registration document (TRD), emission reductions purchase agreement [ERPA]).
ii) Political will of the State shown through substantive progress on its jurisdictional REDD+ system (implementation and/or endorsement of relevant policies and legal frameworks) and/or direct expression of interest from high-level government officials to receive technical assistance to build / operationalize such system.
iii) Overall technical preparedness to comply with technical requirements from ART/ TREES and relevant regulations from the Brazilian government on REDD+ and carbon markets.
iv) Strategic importance of the state for the integrity of the Amazon or other biome and urgency of addressing local deforestation dynamics, including high-pressure regions or critical ecological corridors where tailored support could enable system-wide mitigation impact.

Considering the analysis of these criteria subnational states will be prioritized in tandem with technical teams from the Norway's International Climate and Forest Initiative (NICFI) for technical assistance given their progress in the project cycle.

UNDP will maintain regular technical dialogue with subnational authorities and will assess, in dialogue with NICFI through adaptive project management, the conditions through which jurisdictions may emerge as a viable partner for high-integrity J-REDD+ support. Federal context will always be taken into consideration, with the objective of joining efforts for a national high integrity carbon market in the country.

This proposal outlines how UNDP aims to provide technical assistance to subnational jurisdiction in the Amazon and other biomes from 2026 to 2029 with support from Norway's International Climate and Forest Initiative (NICFI).

12. **Description of how the project will contribute to the initiative's objectives; reduced and reversed loss of tropical forests contributes to a stable climate, protects biodiversity and enhances sustainable development. The main objective has two sub-goals; (i) contribute to sustainable land use and (ii) contribute to reduced pressure on forests from global markets.**

The Project Proposal is fully aligned with NICFI's objective to contribute to a stable climate, protect biodiversity and enhance sustainable development through the reduction and reversion of the loss of tropical forests. FOLHA aims to leverage finance from high-integrity carbon markets by equipping national and subnational jurisdictions in the Amazon and other biomes with the technical, legal, and institutional readiness to access and implement jurisdictional REDD+ programs aligned with ART/TREES standards.

The project will support enabling conditions for credible participation in international carbon markets. These include robust MRV systems, safeguards implementation, benefit-sharing arrangements, legal frameworks for ERPAs, stakeholder engagement, and alignment with national climate and forest policies. In parallel, FOLHA will support strategic dialogue between high-integrity jurisdictional approaches in the region. Policies and measures to promote sustainable land use and social inclusion will be fostered aimed at promoting cross-sectoral engagement with subnational planning, land tenure, and sectors with influence over land-use dynamics. UNDP will facilitate and engage jurisdictions in knowledge exchange and cross-jurisdictional learning to inform scalable REDD+ implementation, promote active transparency and participatory monitoring of jurisdictions, and peer learning to support scalable, high-integrity jurisdictional approaches.

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13. Theory of Change: description of main actors in the project, changes that must occur with these actors and why the project is a good instrument to cause those changes.

Main actors:

The main project actors are subnational jurisdictions, mainly in the Brazilian Amazon selected according to criteria and analysis mentioned in section 11) with respective entities that deal with relevant key policy areas such as environmental and territorial management, land use, climate change, REDD+ and indigenous and traditional peoples. These actors will be supported by FOLHA through a range of different solutions (see below) to strengthen and sustain the policy agenda on J-REDD+ and socio-environmental integrity in carbon markets. To ensure the continuity of the strategic positioning of jurisdictional REDD+ during possible political transitions the project will maximize synergies in technical assistance, actively engage strategic stakeholders relevant to the agenda, including intergovernmental jurisdictional institutions, civil society, sectorial representations, academia and international development cooperation.

14. Challenges:

Despite the progress in REDD+ agenda in the region and recent success in the reduction of deforestation in Brazil and other countries, there remain technical gaps for fully TREES-compliant and operational jurisdictional REDD+ (J-REDD+) systems. Furthermore, alignment and coordination of REDD+ at various levels does not yet deliver high-integrity J-REDD+ credits throughout subnational jurisdictions in the Amazon region and other biomes in Brazil. FOLHA will work with actors at different levels, including federal and state governments, independent private sector project developers, representative associations, local communities and indigenous peoples (see section 14 on main target groups) to identify and propose solutions to such challenges. All work shall be strictly aligned with National REDD+ framework, and international best practices.

Solutions:

To address these challenges, FOLHA supports operationalization of an effective policy framework on carbon markets across different levels that contribute to the legitimacy and sustainability of J-REDD+ as well as Brazil's climate and sustainable development goals for the climate and forest sector by proposing the following solutions:

i. Facilitate the coordination and flow of data and information amongst project level interventions, jurisdictional systems, and national REDD+ implementation to promote alignment on GHG accounting, social and environmental safeguards, and governance.

ii. Strengthen the participatory processes for the active engagement in the decision-making, implementation and monitoring of J-REDD+ by all stakeholders, particularly of Indigenous Peoples and Local Communities (PIPCT), and with due consideration of gender-sensitive approaches and social and environmental safeguards compliance.

iii. Deliver technical advisory services, data, and information, to equip national and jurisdictional REDD+ stakeholders, including government technicians and representatives from PIPCT, with the skills and capacities needed to effectively implement J-REDD+ programs in an aligned manner.

iv. Address institutional fragmentation and limited cross-sectoral coordination by promoting structured engagement with land-use planning, land tenure, agriculture, and environmental enforcement agencies, strengthening the enabling conditions for high-integrity J-REDD+ implementation.

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v. Promote institutional continuity by supporting the formalization of intersectional coordination mechanisms, institutional memory tools, and cross-government ownership of J-REDD+ processes, ensuring resilience across political cycles.

vi. Establish a strategic communication and active transparency framework to support informed participation, build stakeholder trust, and publicly track progress on safeguards, finance flows, and results.

vii. Foster structured peer learning and coordination among Amazonian and Cerrado jurisdictions through regular technical exchanges, shared tool development, and regional forums, in collaboration with established bodies such as the Consortium of Governors of the Legal Amazon (CAL), to promote coherence and accelerate implementation of J-REDD+.

Outcomes:

The proposed FOLHA solutions contribute towards four outcomes that are required for jurisdictional REDD+ programs in Brazil:

I. **Robustness for Compliance**: Brazilian Amazon States' J-REDD+ programs in compliance with ART/TREES.

II. **Safeguards for Inclusivity:** Brazilian Amazon States' J-REDD+ programs address and respect social and environmental safeguards.

III. **Learning for Sustainability:** Brazilian Amazon States' Political Leaders, PIPCT and technicians' capacities enhanced for informed decision-making on sustainable forest finance strategies.

Objectives and Impact:

By technically equipping the subnational jurisdictions in the Brazilian Legal Amazon to promote the integration of initiatives across various levels, allowing for the generation of TREES credits from their J-REDD+ programs at the scale needed to raise financial resources to tackle deforestation and forest degradation (specific objective), FOLHA enables access to finance with high socioenvironmental integrity, strengthening public policies that contribute to reducing GHG emission and generating co-benefits for local communities (overall objective).

In parallel, the project generates strategic co-benefits at the federal level by enhancing Brazil's national forest monitoring system, supporting harmonized MRV approaches, and strengthening the articulation between subnational actions and national policies under CONAREDD+, SISREDD+ and the emerging SBCE regulatory framework. This contributes directly to the Federal Government's ability to track and report on its climate commitments (NDCs) and expand Brazil's participation in international carbon markets under Article 6 of the Paris Agreement.

Intended Impact is that by 2030, subnational jurisdictions in the Brazilian Legal Amazon have secured sustained access to international finance for high-integrity jurisdictional REDD+ at a scale aligned with Brazil's climate change mitigation commitments. This access is supported by robust technical systems, institutional and cross-sector coordination, regional peer learning, and governance continuity across political cycles. Social and environmental safeguards are effectively implemented, ensuring the full participation and rights of Indigenous Peoples and Local Communities (Impact). FOLHA's outcomes at the federal level are both **direct and systemic**.

At the federal level, the **impact includes**:

- Strengthened coordination between national and state REDD+ systems (particularly CONAREDD+, SISREDD+ and SBCE).
- Improved quality and granularity of data for national MRV and GHG inventories (supporting MCTI and INPE).

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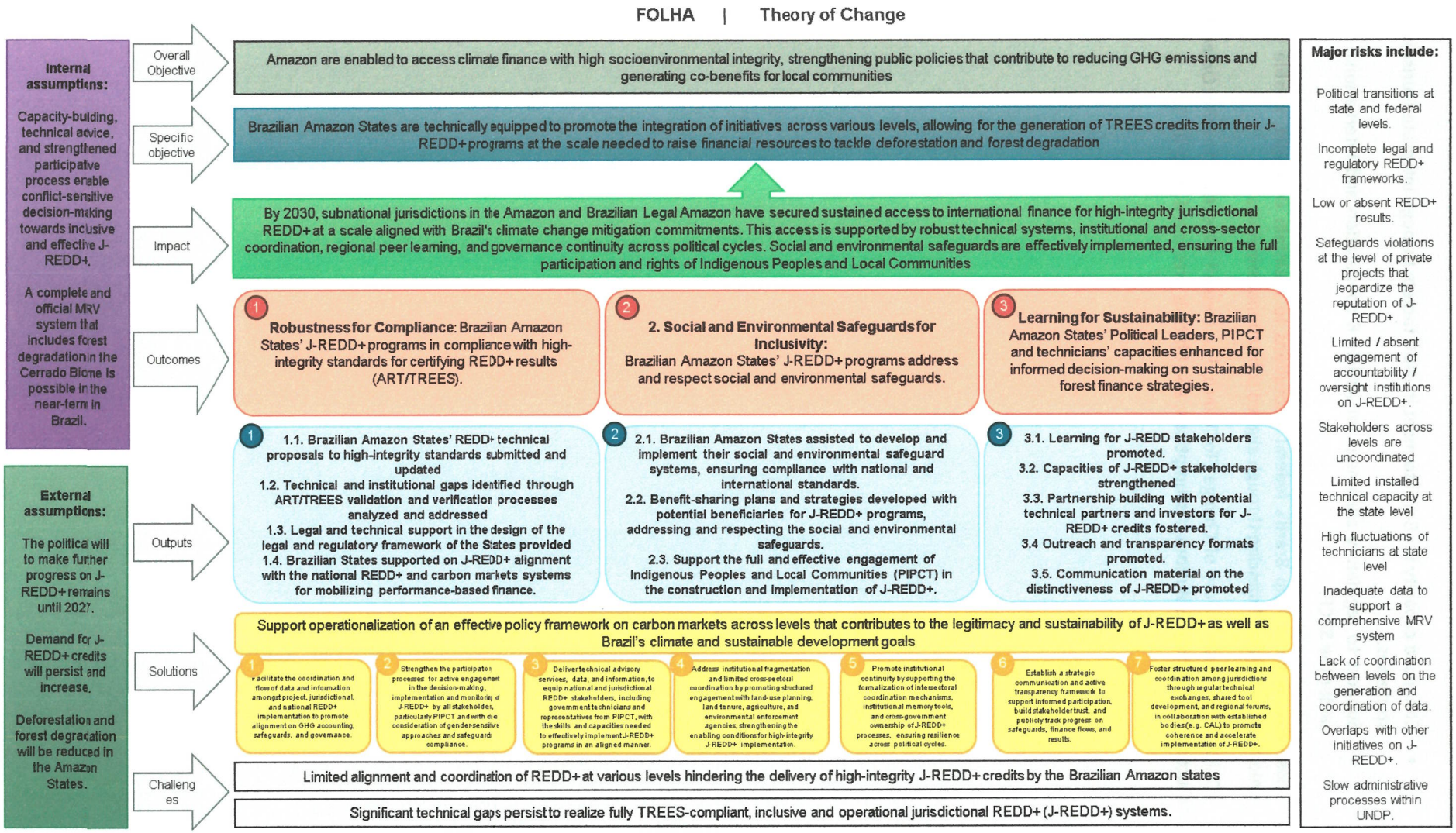
- Enhanced capacity for Brazil to meet its **NDC targets** through integrated jurisdictional REDD+ strategies.
- Support to regulatory alignment for **Article 6** cooperation and international finance flows under the SBCE.

These contributions help Brazil's federal institutions to **maintain oversight**, **enable integrity**, and **unlock international financing** through aligned subnational initiatives.

FOLHA´s complete Theory of Change (ToC), including **Overall Objective, Specific Objective, Impact**, **Outcomes, Outputs, Solutions, Assumptions**, and **Risks**, is presented in Figure 1.

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Figure 1. FOLHA´s Theory of Change (ToC).



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Main target group/-s

Role in the project	Partner name	Relevant focus area / role in project
1. **Project Implementation**	**UNDP Global Carbon Markets Team**	- Lead organization and strategic leadership, REDD+ policy coherence, ART/TREES alignment. Lead strategic direction and global coordination; ensure technical alignment with international standards and donor priorities.
	UNDP Brazil Country Office	- Day-to-day project management, coordination, contracting, state-level delivery; Host the Project Management Office (PMO); oversee implementation with embedded teams across selected states and support dialogue with federal counterparts
	UNDP Regional Hub for Latin America and the Caribbean	- Regional technical backstopping and south-south cooperation. Support cross-country learning, legal and safeguards advisory, and ensure coordination with regional REDD+ and forest governance initiatives
2. **Main Project Partners**	**State Governments of the Brazilian Legal Amazon**	- Jurisdictional REDD+ implementation, safeguards, MRV, local governance. Implement J-REDD+ strategies, manage state-level REDD+ readiness, lead stakeholder engagement, and coordinate across sectors
	Consorcio da Amazonia Legal (CAL)	- A public entity that brings together the nine states of the Legal Amazon, the CAL will strengthen cooperation between state governments on J-REDD.
	National Ministries and Technical Entities: a. Ministry of Environment and Climate Change (MMA). b. Ministry of Science, Technology, and Innovation (MCTI). c. Ministry of Indigenous Peoples (MPI) d. National Institute for Space Research (INPE). e. Chico Mendes Institute for Biodiversity Conservation (ICMBio). f. National Foundation for Indigenous Peoples (FUNAI). g. National REDD+ Commission (CONAREDD+)	- Responsibility for the completeness and robustness of national forest monitoring systems. - Responsibility for the alignment of land use and emission data across levels. - National REDD+ coordination, safeguards oversight. Ensure alignment with Brazil's ENREDD+, SISREDD+, and the SBRE framework; integrate subnational systems into national policy and reporting structures - Leadership on the implementation of the ENREDD+ and the coordination of CONAREDD+ Technical Working Groups on Safeguards, MRV, and Benefit-Sharing. - Technicians' capacities enhanced for decision-making. - Protect and promote the rights of Indigenous peoples, local communities, and their respective territories
	National and Subnational Prosecutors' Offices and Legal Entities:	- a. Oversight of federal public expenditures, evaluation of public policy effectiveness.

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a. Federal Court of Accounts (TCU – Tribunal de Contas da União)
b. State Courts of Accounts (Tribunais de Contas Estaduais – TCEs).
c. Representative Council of the Courts of Accounts (Instituto Rui Barbosa, IRB / Conselho Nacional de Presidentes dos Tribunais de Contas, CNPTC).
d. Conselho Nacional do Ministério Público (CNMP).
e. Federal Prosecution Service – 6th Chamber for Indigenous Peoples and Traditional Communities (6ª CCR / MPF)
f. State Prosecution Services of the Legal Amazon (MPs Estaduais da Amazônia Legal).

The TCU can play a role in assessing the use of federal resources linked to REDD+, including transfers to states and the implementation of environmental programs. It can contribute to the institutional integrity of REDD+ financing by promoting standards for procurement, auditing, and benefit-sharing transparency. Its evaluations also help align REDD+ with broader national accountability mechanisms and support cross-learning for subnational systems.

- b. Oversight of subnational public finance, environmental spending, and policy implementation are essential for monitoring REDD+ expenditures at the jurisdictional level, including programs funded by international carbon finance. Their involvement enhances transparency, legal compliance, and fiscal accountability in the execution of jurisdictional REDD+ activities. They can also evaluate safeguard-related investments (e.g., participation, territorial planning) and promote best practices in environmental public finance management.
- c. Technical standardization, guidance, and capacity-building across the courts of accounts system. The Instituto Rui Barbosa (IRB) and the Conselho Nacional de Presidentes dos Tribunais de Contas (CNPTC) can support the harmonization of practices and guidelines across federal and state courts for the auditing and evaluation of REDD+ programs. Their engagement can contribute to the development of common frameworks for REDD+ accountability, safeguards monitoring, and financial traceability, enhancing credibility across jurisdictions and donors.
- d. National coordination, oversight, and regulation of the Public Prosecutor's Office (Ministério Público). CNMP plays a critical role in ensuring institutional coherence, independence, and transparency across federal and state prosecution services. In the context of FOLHA, CNMP can support the systematization of safeguards oversight, promote integration between the 6th Chamber (MPF), state prosecutors, and REDD+ programs, and ensure that grievance mechanisms, FPIC, and social safeguards are effectively monitored. CNMP can also contribute to the design of protocols or cooperation frameworks for the Public Prosecutor's Offices to act in preventing socio-environmental rights violations linked to REDD+ crediting and benefit-sharing. Its engagement strengthens the public legitimacy and legal soundness of jurisdictional REDD+ systems.
- e. Legal protection of Indigenous and traditional peoples, oversight of socio-environmental rights and compliance. The 6th Chamber plays a central role in ensuring that jurisdictional REDD+ systems respect constitutional rights, FPIC, and environmental safeguards. In the context of FOLHA, the Chamber may act as an

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		institutional counterpart in the oversight of benefit-sharing mechanisms, legality of land tenure, and the enforcement of participatory safeguards, particularly where Indigenous territories, extractivist reserves, or traditional communities are involved. Its engagement strengthens legal credibility and public accountability of jurisdictional REDD+ initiatives. The 6ª CCR also contributes to dialogue with state prosecution services and can support the development of transparent grievance mechanisms aligned with ART/TREES requirements. - f. Oversight of environmental law, public interest protection, indigenous and traditional peoples' rights. The State Prosecutor's Offices (e.g., MP-AM, MP-PA, MP-MT, MP-RO) are essential actors in ensuring the legality and accountability of jurisdictional REDD+ implementation. They monitor compliance with environmental legislation, safeguard requirements (including FPIC), and benefit-sharing arrangements, especially in territories of Indigenous Peoples and Traditional Communities (PIPCTs). Within FOLHA, they can act as independent monitors of socio-environmental safeguards, support the resolution of land tenure and territorial conflicts, and intervene in cases of rights violations or irregular public expenditures. Their coordination with the MPF and CNMP is key to building a robust and credible legal safeguard environment for ART/TREES-aligned crediting.
	Civil society organizations: **a.** Representatives from regional Indigenous Peoples', Quilombolas, and Extractivist Organizations (e.g. involved in the CONAREDD+ – tbd). **b.** Representatives from state-level Indigenous Peoples' and Traditional Communities' organizations and other non-governmental organizations involved in the state councils and forums on climate change.	- Co-ownership and contributors to state-level J-REDD+ systems. - Need for full and effective engagement in relevant processes of the design and implementation of J-REDD+.
3. Technical coordination partners	**International and national organizations:** a. Food and Agriculture Organization of the United Nations (FAO). i. Aim 4 Forests b. United Nations Environment Programme (UNEP). c. Deutsche Gesellschaft für Internationale Zusammenarbeit (GIZ) GmbH. i. Action 4 Forests d. United Nations Development Programme (UNDP) project	In general: - Implementation of complementary projects and initiatives with potential synergies - Establishment of effective coordination mechanisms to avoid overlaps and tap synergies and, where possible, coordinated work planning and co-funding arrangements (specifically, but not limited to, Floresta+ Amazon). Specifically: - On c: Policy advocacy, subnational coordination, communications, and support to strategic governance processes. Supports strengthening CONAREDD+, outreach to indigenous secretariats and social actors, and regional dialogues on climate finance

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portfolio in the environment sector, particularly: i. Floresta+ Amazon Project (MMA/UNDP) ii. Fifth National Communication & National GHG Inventory e. Governors' Climate and Forests Task Force (GCFTF). f. Consortium of Governors of the Legal Amazon (CAL) g. ACTO / OTCA (Amazon Cooperation Treaty Organization).	—especially through Component 1 and Component 3 of its funding structure. - f. Regional political coordination: Facilitate horizontal cooperation among states, promote cost-sharing and peer learning, and act as a collective voice for Amazon states in REDD+ discussions - g. Regional coordination, knowledge exchange, capacity-building, environmental governance. Facilitates dialogue and cooperation among Amazon countries on climate and forest governance. Can support cross-border learning, alignment of jurisdictional REDD+ approaches, and regional capacity-building relevant to ART/TREES. May also help disseminate verification methodologies, convene technical events, and promote harmonized safeguards implementation across countries sharing the Amazon biome.
Civil society organizations:	- Project partners of states under the Windows A and B of UNDP's GCFTF support (2018-2022) with a potential to continue and broaden coordination on J-REDD+ support and implementation. - Advocacy, safeguards, community engagement - Promote active transparency, build trust with local communities, and support participatory governance mechanisms
Local universities and research institutions a.	- Capacity-building, policy research. Provide technical training, produce diagnostics, and support participatory safeguards and MRV systems
Private sector organizations and associations: a. Mercuria Energy Group. b. Coalizão Clima, Florestas e Agricultura. c. Nature-Based Solutions (NBS) Alliance and/or its member organizations. d. International Emissions Trading Association (IETA) e. LACLIMA (Latin American Climate Lawyers Initiative for Mobilizing Action)	- a. Private sector actor in commodity and carbon markets. Mercuria's involvement in Tocantins makes it a relevant stakeholder for FOLHA as a real-world example of a private actor engaging directly with a subnational government. FOLHA can draw on lessons from this case to strengthen its support to jurisdictions on post-ERPA implementation, MRV readiness, safeguards conformance, and structuring credible engagement with carbon credit buyers. Mercuria may also be a potential participant in future dialogues on private sector expectations and operational challenges in high-integrity jurisdictional REDD+. - b. Public-private dialogue, sustainable land use, forest and agriculture integration, climate policy. Coalizão can play a strategic role in enabling high-integrity jurisdictional REDD+ systems by convening key actors across sectors—agribusiness, finance, civil society, and academia—to promote policy alignment, market credibility, and safeguards integration. It can help bridge jurisdictional supply with

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	Validation and Verification Bodies (VVBs) for ART/TREES verification a. International validation and verifications bodies accredited with ART/ TREES b. Environmental validation and verification bodies in subnational Amazon and Brazilian Legal Amazon as future VVB	market demand for high-integrity credits, foster private sector participation in J-REDD+ initiatives, and ensure that implementation aligns with Brazil's NDC and zero-deforestation commitments. The Coalition also offers a platform for structured dialogue on land-use governance, environmental regularization (CAR/PRA), and benefit-sharing models—critical elements for the legitimacy and continuity of REDD+ crediting efforts. - e. Legal frameworks, safeguards, climate law, legal analysis for carbon rights, benefit-sharing, FPIC, and REDD+ safeguards; contribute to VVB readiness and policy harmonization - Foster partnerships between international VVBs and regional technical institutions (e.g., IMAFLORA, LAPIG, CIT/UFMG). - Build readiness pipelines for interested Brazilian institutions to pursue accreditation (e.g., through training and ART engagement). - Create a registry of verification-relevant expertise at the subnational level to assist states preparing TRDs and monitoring reports. - Engage ACTO/OTCA and CONAREDD+ in regional capacity-building for ART-compliant verification ecosystems.
4. Other stakeholders	**Other stakeholders:** a. UN-REDD Programme. b. Emergent. c. Consortium funded by the UK Government, led by Ricardo, and partnered with Winrock International, Ludovino Lopes Advogados, Climate Law and Policy (CLP). d. Rainforest Foundation Norway.	- Responsibility for the support to and commercialization of emission reductions from J-REDD+ (Emergent, Ricardo). - Establishment of coordination mechanisms to keep appraised of priorities and pathways of engagement for informed.

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15. Expected project impact and main outcome/-s (please refer to number 24., below)

By 2030, subnational jurisdictions in the Amazon and Brazilian Legal Amazon have secured sustained access to international finance for high-integrity jurisdictional REDD+ at a scale aligned with Brazil's climate change mitigation commitments. This access is supported by robust technical systems, institutional and cross-sector coordination, regional peer learning, and governance continuity across political cycles. Social and environmental safeguards are effectively implemented, ensuring the full participation and rights of Indigenous Peoples and Local Communities.

16. How the organization is planning to achieve these outcomes: major outputs and activities

See results framework in section 24.

17. Main (local) partners and their role in the project- when relevant

See description of main target groups, including partners and their role in the project, in section 14.

Project Organization

18. Description of project set-up and capacity to carry out the project

To achieve the objectives of the FOLHA project, UNDP will implement the project through a combined structure that harnesses the expertise and technical leadership of the UNDP Global Carbon Markets Team as well as the political and operational capacities of UNDP Brazil Country Office. This approach pairs the expertise of global technical advisors, operative capacities and policy linkages of UNDP Brazil with decentralized and state-focused structured, ensuring robust technical implementation, operational efficiency and a field and policy-based focus. It guarantees seamless quality assurance, cutting-edge advisory support, and rapid deployment of expertise for complex tasks like ART/TREES compliance and benefit-sharing frameworks. At the same time, state-based analysts deliver real-time, locally informed assistance, fostering strong partnerships with state institutions and preempting bottlenecks. Administrative and operational functions are tightly managed by the Project Management Office, while a flexible pool of individual consultants can be mobilized to address emerging needs. This integrated structure not only secures high-standard, tailor-made technical outputs but also builds enduring national capacity on high-integrity Jurisdictional REDD+ program with responsiveness, efficiency, and sustained impact.

The proposed framework is designed to fully leverage UNDP's global technical expertise alongside its field presence and core coordination and management capacities. Under this arrangement, the project is delivered through a cohesive structure with three complementary functions: global advisors who lead the technical elements of J-REDD+, including ART/TREES alignment, MRV, and safeguards; a Brasília-based coordination and management function responsible for day-to-day implementation, monitoring, reporting, and consistent linkages with federal and regional policy processes; and a decentralized, field-based team in partner states and the Consortium of the Legal Amazon (CAL) to prepare and support state governments, works in close coordination with the global advisors and the central coordination unit, and embeds capacity building and direct technical assistance.

The UNDP implementation team will be comprised of:

i) Global advisors (responsibility for Outcome 1 and targeted contributions to Outcomes 2 & 3):
   a. Senior Global Technical Advisor

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b. Global Safeguards Advisors,
c. Global REDD+ Advisor
d. Technical Specialist Agriculture, Forestry and Land Use
e. Technical Specialist Subnational Carbon Finance
f. Specialized consultants, as required to achieve project objectives

ii) Project Management team (responsibility for Outcomes 2 & 3 and targeted contributions to Outcome 1):
a. Project Coordinator
b. 2 Project Assistants

iii) State centered structure/ local team supporting partner states and CAL (targeted contributions to Outcomes 1-3):
a. At least 5 dedicated J-REDD+ analysts based on the states supported by FOLHA with the aim to build local capacities and provide overall support to subnational governments.
b. At least 1 dedicated expert with CAL focused on linkages with states on J-REDD+ and resource mobilization for forest conservation in the Amazon region.
c. PIPCT representatives and organizations deployed through the modality of United Nations Volunteers (UNVs) to support project objectives.
d. Pool of specialized consultants, as required to achieve project objectives.

Across these areas, UNDP Global Advisors, National Analysts and Embedded Experts will implement the following tasks:

- Provide direct embedded and global technical assistance to the states of the Brazilian Amazon and, where relevant, federal entities, on J-REDD+ design and implementation, including MRV, social and environmental safeguards, REDD+ governance and policies, legal support for structuring and/or adapting regulatory frameworks, ERPAs negotiation and monitoring of compliance, implementation modalities for results-based payments, benefit sharing, grievance mechanisms, among others.
- Conceptualize, contribute and oversee technical consultancies and workshops in consideration of technical requirements and government demands.
- Promote and support multistakeholder fora between different actors from state and federal governments, non-governmental organizations as well as representatives from Indigenous peoples and local communities.
- Ensure the technical coordination with other REDD+ initiatives for a synergetic and technically sound delivery of technical support on jurisdictional REDD+ programmes towards governments at subnational and national level.
- Administrative, financial and contractual management of the proposed project activities.
- Enable state capacity building and J-REDD+ framework sustainability by embedding personnel within state institutions to establish SOPs and handover plans, equip teams to access diversified finance beyond NICFI (Amazon Fund, GCF windows, constitutional fund, domestic/state funds).

Operational capacity and delivery framework:

Operational framework: Under the Framework Agreement between UNDP and the Royal Government of Norway, a Specific Agreement will be signed between the UNDP Country Office in Brazil and the Norwegian Royal Embassy in Brasilia. Within the operational UNDP structures, FOLHA will have a

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stand-alone project document (Prodoc). Funds will be transferred to UNDP's Global Carbon Markets Team in accordance with the respective work areas and responsibilities.

Technical Backbone: Includes in-house technical experts on jurisdictional REDD+, ART/TREES eligibility and compliance, stakeholder engagement, MRV in forestry and land use sector, forest carbon finance and project management and delivery of complex technical assistance programs. This ensures robust technical leadership, seamless quality assurance, and the capacity to manage complex deliverables such as MRV, legal frameworks, ART/TREES compliance documents, and safeguards implementation.

Territorial Coverage: Each of the five priority states (to be defined according to selection criteria) has a dedicated J-REDD+ analyst deployed embedded within the state, enabling highly responsive technical assistance, deep engagement with state institutions, and continuous monitoring of local processes. This eliminates the need for analysts to divide attention across multiple states, substantially improving efficiency and stakeholder engagement. It is imperative to note that such State-based team will be allocated within State level institutions based on the specific pressing demands, allowing for flexibility and responsiveness as needed. In addition to that, the proposed framework allows for the targeted recruitment of individual consultancies for further specific demands and needs from States. This agile consultancy layer guarantees that high-complexity products or operational bottlenecks are addressed on time, while preserving the project's lean implementation design.

Supervision and Operational Management: The Project management team are key positions providing necessary administrative and operational support to the technical team, ensuring that project logistics, finances, procurement, and reporting are efficiently managed. It is important to note that, depending on further demands from the states, an additional administrative support position might be necessary and will be evaluated by the project team during implementation.

Field Supervision, Knowledge Sharing and Oversight: With a dedicated travel budget, this structure allows for regular in-person field missions, as well as for coordination meetings, workshops, and stakeholder engagements at both state and national levels.

Quality of Technical Outputs: With specialized senior personnel for technical project supervision along with state-based experts the project guarantees a coordinated high standard of technical deliverables tailored for each state (e.g., MRV systems, legal documents, benefit-sharing frameworks) aligned with ART/TREES, national requirements and best international practices.

Field Responsiveness: Field-based analysts ensure that state-specific issues are addressed in real time, improving the ability to adapt to local dynamics, sustain partner engagement, internalizing demands and other needs to resolve technical or operational challenges promptly. It is also important to highlight that UNDP is also aiming to foster state-level expertise and recruit J-REDD+ analysts from within the state to build upon constituted networks and legitimacy. To further anchor support at the subnational level, the effective engagement of representatives and organizations of PIPCT will be supported in J-REDD+ processes, by means of support to participation and the contractual modality of United Nations Volunteers (UNV).

Reduced Risk of Bottlenecks: The framework integrating dedicated J-REDD+ experts and pairing them with locally appointed REDD+ Liaisons distributes responsibility for critical deliverables across a network of on-the-ground demands rather than only centralizing decisions, dramatically reducing single-point dependencies, preventing bottlenecks and disconnection with local needs and requirements.

Capacity Building and Framework Sustainability: UNDP's fielded personnel, backed by centralized procurement/HR/finance and knowledge systems, will co-develop and transfer tools, SOPs, and

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training-of-trainers to state units, enabling durable J-REDD+ operations and independent resource mobilization across selected Amazon states.

19. Description of relevant experience

I. UNDP is widely recognized for its technical assistance services and is well positioned to continue providing this technical support to subnational jurisdictions in the Brazilian Legal Amazon, in dialogue with the Federal Government, due to the following factors:

II. Global mandate to support countries in enhancing their NDCs and to provide leadership in achieving the SDG.

III. Collaboration and partnership with Brazil's Amazon states on the following projects / initiatives:
   a. Governors' Climate and Forests Task Force (GCFTF) with global NICFI funding on Window A with all 9 Brazilian States on REDD+ strategies and actions plans and Window B with Pará and all 9 Brazilian states on ART/TREES compliance

IV. Collaboration and partnership with Brazil's federal government on the following projects / initiatives:
   a. **Green Climate Fund Results-Based Payment Program Floresta+** (see description in section 11) with support to payments for environmental services and support to ENREDD+ implementation, including the development of the national FREL, support to CONAREDD+ operationalization and SISREDD+.
   b. Support to the development of the **5th National Communication**, including support to the National Greenhouse Gas Inventory and greenhouse gas reporting platform SIRENE
   c. **Support for UNFCCC Reporting**: Assistance in preparing National Communications and Biennial Transparency Reports (BTR), including Impact, Vulnerability, and Adaptation (IVA) analyses and National Greenhouse Gas Inventories (NGGI), contributing to mitigation and adaptation policies.
   d. **NDC Monitoring**: Support for improving and updating greenhouse gas emission estimates and the **National Emissions Registry System (SIRENE)** to track Brazil's Paris Agreement targets.
   e. **Regulated Carbon Market**: Training government officials on **Article 6 of the Paris Agreement** and supporting the establishment of the **Designated National Authority (DNA)** for Brazil's participation in the international carbon market.
   f. Municipal Engagement in the Amazon: Support for implementing the **"Union with Municipalities" Program under PPCDAm** to promote sustainable development in 70 priority municipalities.
   g. Forest Conservation & PES: Implementation of Payment for Environmental Services (PES) for Amazonian farmers, benefiting 4,500 producers and supporting 40 initiatives with USD 7 million in funding.
   h. **Sustainable Agriculture**: Engaging stakeholders in Tocantins to promote deforestation-free farming through incentives and sustainable practices under the ABC+ Plan.
   i. **Montreal Protocol Compliance**: Supporting the private sector in phasing out ozone-depleting substances and high-GWP refrigerants, reducing global warming impacts.
   j. **COP30 Preparation**: Technical support for **Brazil's presidency and negotiation** team to ensure a successful COP30 and advance global climate action.

V. UNDP Brazil leverage for portfolio integration to accelerate FOLHA delivery through:
   a. National footprint and programmatic integration: 905 staff, presence in 18 states, and offices in Brasília, Salvador, Teresina, and Belém, coordinated across three programmatic units and transversal/operational areas to align technical delivery with state needs.
   b. Shared operational backbone: centralized procurement, HR, finance, IT, admin, and project-support units, plus the UN Shared Services Center managed by UNDP for 16 UN entities, yielding scale, standardization, and predictable execution.

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c. Robust policies and assurance, procurement and recruitment frameworks, and contract management, with portfolio monitoring, audit, evaluation, partner due diligence, and knowledge management ensuring quality and accountability.
d. Data governance and secure systems: protocols for integrity, confidentiality, and traceability, supporting compliant information flows across FOLHA partners and states.
e. Legal and institutional enablers: operations under ABAT/Decree 59.308/1966 and UN privileges/immunities, providing a stable framework for fiduciary management and intergovernmental cooperation.
f. Thematic track record and synergies: environment and climate portfolio delivering REDD+-related results (e.g., ENREDD+ and Floresta+) that inform state-level implementation and finance access strategies relevant to J-REDD+.

VI. Global portfolio on carbon markets
a. Implementation of UNDP High-Integrity Carbon Markets Initiative with Article 6 readiness support: Malawi, Senegal, Morrocco, Cote d'Ivoire, DRC, Rwanda, Tunisia, Brazil, Uruguay, Mongolia, Cambodia, Ukraine, Georgia. Support on Article 6.2 ITMO transactions with the government of Switzerland: Ghana, Vanuatu, Kenya, Zambia.
b. Implementation of UN-REDD Programme with UNEP and FAO with technical assistance to Ecuador, Costa Rica, Bolivia, Honduras, Peru, Ghana, Kenya, Mozambique, Ethiopia, DRC, Viet Nam and Nepal.
c. Financial Intermediary to Emergent under the LEAF Coalition in Ghana.
d. Track record of technical assistance to more than 40 jurisdictions in developing high-integrity forest carbon results-based finance and programs.
e. Demonstrated experience in supporting more than 20 host countries on Article 6 readiness, and lessons from its support to the world's first projects under Article 6 cooperative approaches for emission reductions.
f. Demonstrated expertise in supporting 35 jurisdictions worldwide (9 of which in Brazil) on developing and improving REDD+ policies and actions plans as well as carbon markets readiness under the Governors' Climate and Forests Task Force (GCFTF).
g. Key role in facilitating negotiations and contracts with carbon market actors, including project developers, donors and host countries.

VII. Commitment to a human-rights based approach to delivery, including ensuring inclusivity, transparency and accountability across its technical and advisory services.

VIII. Leadership in raising the global bar on safeguards through its Social and Environmental Standards Policy, Procedures and Guidance – with track record in establishing equitable benefit sharing arrangements, stakeholder engagement platforms and grievance mechanisms.

IX. World's largest and well-established mechanisms to delivery funds to Indigenous Peoples and local communities, through its Small Grants Programme, which has delivered substantive support to local communities since its establishment in 1992.

X. Trusted relationships with both federal and state government actors, including from Brazil, as well as with Indigenous Peoples and local communities.

XI. Partnerships with the world's leading high integrity carbon markets initiatives, including IC-VCM and VCMI

XII. Extensive network of experts, consultants and advisors who can be deployed to support countries and jurisdictions, based on demand.

20. Description of applicant's relevant systems, routines and policies (for instance related to selection of partners, internal financial control and financial control of partners, planning monitoring and evaluation systems, procurements and anti-corruption)

See Norway & UNDP Framework Agreement of 2015.

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Risk Assessment

21. Identification and analysis of internal and external risk factors as well as mitigation measures that can affect the achievement of the project's impact and outcome goals including Material risk factors that may have a negative impact on the four cross-cutting issues (human rights , with a particular focus on participation, accountability and non-discrimination, women's rights and gender equality; climate change and environment) should always be included:

Risk Category	Risks Identified	Likelihood (L) Impact (I)	Mitigation Measures
1. External (political-legal)	**1.1 Political transition** at federal and state level leading to a reduced willingness to continue with climate and forest agenda.	L: Medium I: High	UNDP, as long-standing partner to Brazil's Federal and State Governments, will closely monitor policy developments and adopt strategies to promote continuity across administrations, with a focus on J-REDD. It will engage with transition teams and CAL in order for jurisdictional dynamic to be maintained.
	1.2 Low or absent results of reduced GHG emissions from deforestation and forest degradation.	L: Medium I: High	Through existing and potentially new partnerships, UNDP, where possible, will strengthen policies and governance towards achieving Brazil's forest sector climate targets focused on deforestation prevention and control. Furthermore, embedded J-REDD+ experts will support states on raising funds beyond carbon finance.
	1.3 Unclarity around the implementation **of Brazil's Emission Trading System** (SBCE) may weaken subnational REDD+.	L: Medium I: Medium	Ensure continuous monitoring of the national process for operationalizing the SBCE and potential implications for J-REDD+. Provide legal advice to states for action to maximize opportunities for J-REDD+ and foster respect of safeguards under the legal framework.
	1.4 Social and environmental safeguards violations at the level of private projects jeopardize the reputation of J-REDD+	L: Medium I: Medium	Provide continued capacity building and generate and disseminate communication material around differences between J-REDD+ and private projects as well as respecting social and environmental safeguards, by, among others, actively engaging the judiciary branch (CNJ), and prosecution services and CNMP.
	1.5 Local authorities do **not respect PIPCT processes and social and environmental safeguards**, fostering exclusion, and reducing legitimacy of J-REDD+.	L: Medium I: High	Continuously monitor stakeholder engagement and participation, specifically by PIPCT and with focus towards considerations of gender, youth and age, to strengthen full and effective participation in all project-supported processes.
	1.6 Several states in the Brazilian Amazon still **lack clear legal and regulatory frameworks governing REDD+ implementation**, including definitions of carbon rights, benefit-sharing obligations, safeguard enforcement, and the legal basis for entering ERPAs. This legal fragility can undermine the credibility, functionality, and	L: Medium I: High	Support states in establishing and/or improving subnational legal and regulatory frameworks that are aligned with national policy and international standards by directly and indirectly offering legal technical assistance and facilitating peer learning through relevant regional policy platforms (e.g. CAL).

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market readiness of jurisdictional systems, particularly under ART/TREES requirements.		
1.7 The **limited or absent engagement of accountability and oversight institutions** - such as Public Prosecutor's Offices (MPs), State Courts of Accounts, and ombudsman offices - in REDD+ design, monitoring, or safeguards enforcement weakens the transparency, legality, and legitimacy of jurisdictional programs.	L: High I: High	Support the establishment of cooperation mechanisms with bodies like the 6th Chamber of the Federal Prosecution Service (MPF), CNMP, and state-level oversight actors, integrating them into transparency systems, consultation processes, and grievance redress structures.
1.8 The **overreliance on international cooperation funding for J-REDD+ system establishment and maintenance** poses a structural risk: if external funding priorities shift or are reduced, the institutional and operational infrastructure for J-REDD+- including MRV systems, safeguards monitoring, benefit-sharing implementation, and stakeholder engagement-could become unsustainable.	L: Medium I: High	Considering the importance of diversifying funding and political anchoring through public planning instruments to reduce financial vulnerability, support states to plan for long-term sustainability, including integrating REDD+ into state budgets, exploring co-financing with national sources (e.g., MMA budget lines, BNDES, GIZ Amazon Fund projects, FUNBIO), and identifying pathways for revenue reinvestment from future crediting.
1.9 With REDD+ implementation often privileging technical dimensions—such as carbon accounting, safeguards, and verification, there is a **lack of communication and outreach on J-REDD+ beyond specialized technical and donor circles.** Public officials, local stakeholders, private actors, and even civil society often lack accessible, clear, and practical information about what J-REDD+ is and how it differs from project-based carbon schemes, and what its benefits and risks are. This communication gap reinforces an echo chamber dynamic, weakens legitimacy, and undermines political and societal support.	L: Medium I: High	Develop and transversally implement a robust and strategic communication and outreach component, producing audience-specific materials (for state planners, PIPCT, local media, private sector), promoting public legal education, and facilitating high-visibility events or campaigns to demystify and socialize J-REDD+ in plain language across Brazil and regionally.
1.10 Public scepticism and NGO criticism of carbon offsetting may lead to a **reputational**	L: Medium I: Medium	Develop communication strategies and products around the distinctiveness of jurisdictional approaches, aligning rigorously with ART/TREES criteria, engaging third-

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	spillover from controversies in project-based carbon markets and undermine trust in J-REDD+. Even if J-REDD+ maintains high standards and transparency, public perception may not distinguish it from discredited approaches.		party evaluators, and supporting consistent public messaging in collaboration with civil society and media actors.
	1.11 Technical progress on J-REDD+ in some states could be **misaligned with financial and governance incentives** as well as the drivers of land use change, law enforcement and land tenure realities. With that J-REDD+ may produce limited additionality. This misalignment is often overlooked due to the siloed nature of REDD+ programming.	L: Medium I: Medium	Involve and actively engage public and private stakeholders in the broader land use, land tenure and agricultural production agendas in REDD+ programming, implementation and monitoring.
2. External (technical)	**2.1 Stakeholders across levels are uncoordinated on J-REDD+**, jeopardizing alignment between levels, risking environmental integrity and leading to inefficient use of public resources.	L: High I: High	Mapping ongoing and future initiatives, establishing regular coordination mechanisms to tap synergies and avoid duplication and, regarding the UNDP portfolio (specifically Floresta+), ensuring co-funding, where possible. Support multistakeholder and participatory processes with actors from all levels, ensuring coordination and ownership of J-REDD+ and its environmental integrity. Strengthen the coordination mandate of the Consortium of Governors of the Legal Amazon (CAL), facilitating regional peer exchanges, and promoting the development of shared approaches on MRV, safeguards, and legal instruments.
	2.2 Weak or inconsistent inclusion of IPLCs knowledge and differentiated FPIC. Although safeguard engagement is a requirement, current approaches may not fully reflect differentiated consultation protocols or governance structures specific to PIPCT. This gap risks non-compliance with ART/TREES and national safeguard standards and may provoke resistance from key constituencies.	L: Medium I: Medium	Support the co-development of culturally appropriate FPIC protocols in collaboration with oversight bodies and indigenous-led organizations. Establish a recurrent monitoring and reporting process on consultation dynamics through reporting.
	2.3 Transparency and grievance mechanisms. Public access to information on REDD+ implementation, safeguards, and financial flows remains limited in most jurisdictions, and formal grievance redress mechanisms are often	L: Medium I: Medium	Support states in developing digital transparency dashboards and establish jurisdictional-level grievance systems, with inputs from MPs/MPF/CNMP, civil society, and existing ombudsman channels.

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	absent or not operationalized. This reduces trust, weakens accountability, and undermines ART/TREES safeguard compliance.		
	2.4 Mismatch between buyer expectations and jurisdictional crediting standards. Carbon credit buyers often lack clarity on jurisdictional REDD+ models, including how permanence, additionality, and safeguards are addressed at scale, which may lead to hesitation or lower demand for jurisdictional credits. This market disconnect could undermine ERPA negotiations even in technically prepared states.	L: Medium I: Medium	Support the development of a buyer engagement strategy—organizing briefings, producing accessible information materials, and facilitating dialogues with actors such Emergent, NBS Alliance, Coalizão and private buyers like Mercuria.
	2.5 Insufficient demand for J-REDD credits by international markets, reducing the viability of and interest in pursuing jurisdictional REDD+ credits.	L: Medium I: High	Constant mapping and observation of carbon markets demand and dynamics in dialogue with key market players. Engagement in adaptive management on both demand and supply sides. Enhance communication efforts with the aim of building trust of investors into J-REDD+.
	2.6 Limited installed technical capacity at state-level on topics key to building and implementing J-REDD+.	L: High I: Medium	Provide capacity building on different key topics and in coordination with technical partners to ensure continuity for J-REDD+.
	2.7 High fluctuations/ changes in states' technicians dealing with the design and implementation of J-REDD+.	L: High I: Medium	Support the transfer of knowledge between state-level technicians by ensuring that capacity building material is provided as online modules to foster continuity of learning.
	2.8 Inadequate data to support a comprehensive MRV system, as basis for functional J-REDD+.	L: High I: Medium	Provide support to national entities dealing with land use and carbon data, specifically targeting technical gaps through robust and collaborative approaches.
	2.9 Lack of coordination between levels on the generation and coordination of **data and information.**	L: High I: Medium	Promote the coordination between different entities at different levels as basis for the sustainability of systems that underpin functional J-REDD+ systems.
	2.10 Women's rights and gender equality are not addressed and respected in the design and implementation of J-REDD+	L: High I: Medium	Ensure the implementation of gender-responsive approaches in all project activities to promote women's rights and gender equality in J-REDD+
3. **Internal (operational)**	3.1 Administrative processes within UNDP delaying steadfast implementation	L: Medium I: Medium	Establish adequate project management structure and planning instruments to ensure timely delivery, while respecting fiduciary standards.
	3.2 Coordination across UNDP global, regional, and national levels	L: Medium I: Medium	Upon project inception, clarify roles and communication lines across UNDP teams, with recurrent conversation with donors and periodic joint reviews.

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The complexity of multilevel coordination across UNDP's global Climate and Forest team, the Regional Hub, and the Brazil Country Office may lead to fragmented delivery, miscommunication, or reduced effectiveness in responding to evolving subnational needs.		
3.3 Institutional memory and knowledge transfer mechanisms. Staff turnover and over dependency on personnel can threaten the retention of strategic and operational knowledge, leading to redundancies, learning loss, and inconsistencies in implementation quality.	L: Medium I: Medium	Use and further improve existing data and information management systems as basis for effective knowledge management and onboarding system, ensuring systematic capture and transfer of methodologies, partner inputs, and operational insights across cycles and teams.
3.4 Adaptive management processes. In the absence of structured feedback loops and course correction mechanisms, FOLHA risks reduced responsiveness to shifting policy, technical, or political landscapes—undermining learning and relevance.	L: Medium I: Medium	Embed adaptive management cycles in FOLHA's operational calendar with quarterly updates on the status of work.
3.5 Personnel allocation. FOLHA's technical staff may become overstretched or misallocated, e.g., with national-level personnel unable to respond in time to field needs, or embedded staff without decision-making support. These risks undermining timely delivery and responsiveness across states and workstreams.	L: Medium I: Medium	Establishment of a clear project governance and management structure that will consider sufficient resources for short-term deployment, maintaining overall agility to respond to direct needs, and mid-term engagement within a stable tableau of personnel, ensuring institutional anchoring and achieving project goals in priority states.

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22. Project budget per year for the project period.

UNDP
Forest Finance Levergaged for High Integrity in the Amazon - FOLHA
Project Proposal's Indicative Budget

*Exchange rate	USD to NOK	10.230	

Source: UN Treasury, accessed on 1st December 2025
https://treasury.un.org/operationalrates/OperationalRates.php

EXPECTED OUTCOMES	EXPECTED OUTPUTS	INDICATORS		Planned Budget by Year (Y) and Semester (S) in USD						Total USD	Total NOK*
				Y1-S1	Y1-S2	Y2-S1	Y2-S2	Y3-S1	Y3-S2		
1. Robustness for Compliance: Brazilian Amazon States' J-REDD+ programs in compliance with high-integrity standards for certifying REDD+ results (ART/TREES).	1.1. Brazilian Amazon States' REDD+ technical proposals to high-integrity standards submitted and updated with focus on sections of MRV of forest carbon and social and environmental safeguards.	#1.1 Four (04) submissions (e.g. concept notes, registration documents, monitoring reports, variance requests) to ART / TREES supported		175'000	105'000	140'000	105'000	105'000	70'000	700'000	7'161'000
	1.2. Brazilian Amazon States assisted during the TREES validation and verification (VV) process in 2026 and 2028.	#1.2 Three (03) Brazilian Amazon States supported in the TREES validation and verification process.		206'250	123'750	165'000	123'750	123'750	82'500	825'000	8'439'750
	1.3. Provide legal and technical support in the design and/or implementation of the legal and regulatory framework of the States and towards monitoring and fulfilling ERPA commitments	#1.3 Support delivered to the improvement of the regulatory and legal framework in up to two (02) states.		75'000	45'000	60'000	45'000	45'000	30'000	300'000	3'069'000
	1.4 Brazilian Amazon States supported on J-REDD+ alignment with the national REDD+ (CONAREDD+) and carbon markets (SBCE) systems for mobilizing performance-based finance.	#1.4 Three (03) technical working group (TWG) meetings (01 of which in-person and 042virtual) organized per year on safeguards, MRV and/or benefit sharing.		255'000	153'000	204'000	153'000	153'000	102'000	1'020'000	10'434'600
	Total Outcome 1		**NOK**	**7'276'088**	**4'365'653**	**5'820'870**	**4'365'653**	**4'365'653**	**2'910'435**		**29'104'350**
			USD	**711'250**	**426'750**	**569'000**	**426'750**	**426'750**	**284'500**	**2'845'000**	
2. Social and Environmental Safeguards for Inclusivity: Brazilian Amazon States' J-REDD+ programs address and respect social and environmental safeguards.	2.1. Brazilian Amazon States assisted to develop and implement their social and environmental safeguard systems, ensuring compliance with national and international standards.	#2.1 At least two (2) state-level safeguards systems supported in two states.		243'500	146'100	194'800	146'100	146'100	97'400	974'000	9'964'020
	2.2. Benefit-sharing plans and strategies developed with potential beneficiaries for J-REDD+ programs, addressing and respecting the social and environmental safeguards.	#2.2 Two (02) multi-stakeholder consultation processes supported in up to (02) two states on benefit sharing approaches.		75'000	45'000	60'000	45'000	45'000	30'000	300'000	3'069'000
	2.3. Support the full and effective engagement of Indigenous Peoples and local Communities (PIPCT) in the construction and implementation of J-REDD+.	#2.3 At least 40 PIPCT representatives trained to be multipliers in their respective states (training of the trainers).		207'500	124'500	166'000	124'500	124'500	83'000	830'000	8'490'900
	Crosscutting activity: Embedded technical support in the Amazon States (at least 3 J-REDD experts)			131'250	78'750	105'000	78'750	78'750	52'500	525'000	5'370'750
	Total Outcome 2		**NOK**	**6'723'668**	**4'034'201**	**5'378'934**	**4'034'201**	**4'034'201**	**2'689'467**		**26'894'670**
			USD	**657'250**	**394'350**	**525'800**	**394'350**	**394'350**	**262'900**	**2'629'000**	
3. Learning for Sustainability: Brazilian Amazon States' Political Leaders PIPCTAF and Technicians capacities enhanced for informed decision-making on sustainable forest finance strategies	3.1. Learning for and between Political Leaders, PIPCT and government technicians promoted.	#3.1 Two (02) exchanges and/or learning events on REDD+ finance and implementation organized.		82'500	49'500	66'000	49'500	49'500	33'000	330'000	3'375'900
	3.2. Targeted learning experiences for political leaders and PIPCT informed decision-making fostered.	#3.2 Up to (04) exchanges and/or learning events on REDD+ finance and implementation for state representatives organized.		18'750	11'250	15'000	11'250	11'250	7'500	75'000	767'250
	4.3. Partnership building with potential technical partners and investors for J-REDD credits fostered	#4.3 Buyer engagement strategy developed and implemented		12'500	7'500	10'000	7'500	7'500	5'000	50'000	511'500
	4.4 Outreach and transparency formats promoted	#3.4 One (01) transparency platform developed		37'500	22'500	30'000	22'500	22'500	15'000	150'000	1'534'500
	4.5 Communication material on the distinctiveness of jurisdictional REDD+ approaches promoted	#3.5 Two (02) knowledge products and / or communication material on jurisdictional REDD+ and related topics published		25'000	15'000	20'000	15'000	15'000	10'000	100'000	1'023'000
	Crosscutting activity: Embedded technical support in the Amazon States (at least 3 J-REDD experts)			131'250	78'750	105'000	78'750	78'750	52'500	525'000	5'370'750
	Total Outcome 3		**NOK**	**3'145'725**	**1'887'435**	**2'516'580**	**1'887'435**	**1'887'435**	**1'258'290**		**12'582'900**
			USD	**307'500**	**184'500**	**246'000**	**184'500**	**184'500**	**123'000**	**1'230'000**	
5. Project Management (PMC)	Management Team			72'000	81'000	81'000	72'000	72'000	72'000	450'000	4'603'500
	Travel			19'200	21'600	21'600	19'200	19'200	19'200	120'000	1'227'600
	Audits, midterm and final project evaluation			9'600	10'800	10'800	9'600	9'600	9'600	60'000	613'800
	Total Outcome 4		**NOK**	**1'031'184**	**1'160'082**	**1'160'082**	**1'031'184**	**1'031'184**	**1'031'184**		**6'444'900**
			USD	**100'800**	**113'400**	**113'400**	**100'800**	**100'800**	**100'800**	**630'000**	
FOLHA TOTAL	**Total Outcomes**			1'776'800	1'119'000	1'454'200	1'106'400	1'106'400	771'200	7'334'000	75'026'820
	GMS (8%)			142'144	89'520	116'336	88'512	88'512	61'696	586'720	6'002'146
	RCO Levy (1%)			19'189	12'085	15'705	11'949	11'949	8'329	79'207	810'290
	TOTAL FOLHA		**NOK**	**19'827'105**	**12'486'791**	**16'227'249**	**12'346'189**	**12'346'189**	**8'605'731**		**81'839'255**
			USD	**1'938'133**	**1'220'605**	**1'586'241**	**1'206'861**	**1'206'861**	**841'225**	**7'999'927**	

Last year's general annual audit and the auditor's management letter.

Not to be included as per Norway & UNDP Framework Agreement

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23. Results framework minimum including targets on impact, outcome and output levels as well as baselines and indicators.

Outcomes	Outputs	Indicators	Activities	States[1] / Actors	Baseline (November/2025)	Budget positions / considerations
1. **Robustness for Compliance**: Brazilian Amazon States' J-REDD+ programs in compliance with high-integrity standards for certifying REDD+ results (ART/TREES). *Contributes to Solutions 1, 2 and 3*	1.1. Brazilian Amazon States' REDD+ technical proposals to high-integrity standards submitted and updated with focus on sections of MRV of forest carbon and social and environmental safeguards.	**#1.1** Four (04) submissions (e.g. concept notes, registration documents, monitoring reports, variance requests) to ART / TREES supported.	1.1.1 Support Brazilian Amazon states in the development of data and information needed to comply with ART/TREES requirements and on nesting JREDD+ within the national REDD+ accounting framework 1.1.2 Support Brazilian Amazon States in the finalization of TREES documents to be submitted to the ART Secretariat (concept notes, registration documents, monitoring reports, variance requests, as relevant). 1.1.3 Support Brazilian States in the development of jurisdictional nesting guidelines 1.1.4. Develop capacity of State-level technicians on MRV	- 04 Brazilian Amazon States	- Three (2) TREES registration documents (AC, PA, TO) finalized. - Four (4) Concept notes finalized (AC, MT, PA, TO). - Two (2) monitoring reports developed (AC, PA). - UNDP work on state specific FREL data for ART/TREES crediting levels - Collaboration with AIM4FORESTS of FAO.	- Consultancy (MRV & Carbon Accounting, Legal). - Staff time UNDP Carbon Markets. - Capacity building (MRV)
	1.2. Technical and institutional gaps identified through ART/TREES validation and verification processes are analyzed and addressed, ensuring continuous system readiness and enabling the sustained flow of high-integrity forest finance	**#1.2** Three (03) Brazilian Amazon States supported in the TREES validation and verification process.	1.2.1 Develop supporting materials, datasets, and prepare relevant partners for the TREES validation and verification process. 1.2.2 Develop the capacity of State-level technicians for the validation and verification processes. 1.2.3 Support states in addressing technical and institutional gaps related to ART/TREES validation and verification requirements	- Technical assistance to states and CAL, IPLCs representatives, with alignment with the Federal Government *and oversight and control bodies.	- No ART Validation and Verification process started. - VVB provided by NICFI for AC and PA. - VVB contracted by Mercuria for TO.	- Consultancy (Carbon Accounting). - Staff time UNDP Carbon Markets.
	1.3. Legal and technical support in the design of the legal and regulatory framework of the States and towards monitoring and fulfilling ERPA commitments provided	**#1.3** Support delivered to the improvement of the regulatory and legal framework in up to two (02) states.	1.3.1 Support subnational jurisdictions to build or adapt the underlying legal and regulatory architecture that enables high-integrity within Brazilian States	- States requiring legislative support and with completed ERPAs - Involvement of relevant legal and oversight bodies in the co-development of legal instruments	- States with signed ERPAs (PA, TO) - States with legislative gaps regarding CONAREDD+ eligibility (e.g. RR)	- Consultancies (Legal) - Staff time UNDP Carbon Markets and CO.

[1] State names are indicative and will be selected following the criteria established and within a structured inception process as well as through adaptive management.

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		supported on J-REDD+ alignment with the national REDD+ (CONAREDD+) and carbon markets (SBCE) systems for mobilizing performance-based finance.	Support delivered to strengthen participation of at least four (04) Brazilian Amazon states in at least one (01) regional and at least one (01) federal policy discussion forum on high-integrity carbon markets.	1.4.1 Provide technical briefings to support informed participation in policy discussions regarding carbon markets, benefit distribution/ allocation, MRV and/or safeguards. 1.4.2 Organize three (3) in-person and an additional eight (8) virtual multi-stakeholder technical working group meetings over the course of the project.	- Support provided with relevance for all states.	- Progress in CONAREDD+ Technical Working Groups. - UNDP MRV work with Way Carbon. - Where relevant and possible, seek synergies with CONAREDD+ TWGs and align and synergize with Floresta+ support	- Staff time UNDP Carbon Markets - Support to full and effective engagement of PIPCT - Event organization for multistakeholder workshops - Consultancy (MRV, Legal) - Staff time UNDP Carbon Markets - Support to PIPCT engagement
2. **Social and Environmental Safeguards for Inclusivity:** Brazilian Amazon States' J-REDD+ programs address and respect social and environmental safeguards. *Contributes to Solution 1 and 2.*	2.1. Brazilian Amazon States assisted to develop and implement their social and environmental safeguard systems, ensuring compliance with national and international standards.		**#2.1** State-level safeguards systems in at least two (02) states strengthened.	2.1.1 Support the design or strengthening of state-level safeguards information systems, in alignment with national and international standards and requirements.	- At least two Amazon states. - CAL	- Pará supported by TNC with NICFI funding. - Safeguards Information Summaries (PA, TO, AC). - ART/TREES Safeguards Interpretation Guide in the Brazilian Context, observing CONAREDD+ resolutions.	- Staff time UNDP CO & Carbon Markets. - Consultants (safeguards).
				2.1.2 Support the strengthening of state governance bodies as monitoring and reporting platforms in terms of capacities, participation in relevant decision-making of two states.	At least two Amazon states	- AC, PA, MT, TO and RR all with operating state governance bodies that require continuous support - AC, PA, and MT with complaints mechanisms implemented	- Staff time UNDP CO & Carbon Markets. - Consultants.
				2.1.3 Support the design of culturally appropriate FPIC protocols in collaboration with oversight bodies and indigenous-led organizations	- CAL - IPLC State secretariats	- Prior work of and with indigenous-led organizations at both state and regional levels	- Staff time UNDP Carbon Markets & CO.
	2.2. Benefit-sharing plans and strategies developed with potential beneficiaries for J-REDD+ programs, addressing and respecting the social and environmental safeguards.		**#2.2** Consultation and participation architecture designed for up to two states, aligned with TREES safeguard requirements and national norms	2.2.1 Co-develop blueprint for multistakeholder consultation processes for benefit-sharing approaches	- Up to two Amazon states	- Pará supported by TNC with NICFI funding. Targeted UNDP support. - Acre's public consultations on benefit sharing supported by UNDP with NICFI funding. - No funding is available to conduct consultations. Funding needs to be mobilized by accessing other funding sources.	- Staff time UNDP CO & Carbon Markets. - RPAs with NGOs.

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	2.3. Support the full and effective engagement of Indigenous Peoples and Local Communities (PIPCT) in the construction and implementation of J-REDD+.	**#2.3** Training module on TREES safeguards governance and participation frameworks developed for PIPCT representatives (training of the trainers)	2.3.1 Support the mobilization and capacity building of PIPCT representatives and PIPCT-led organizations throughout the construction, implementation and monitoring of J-REDD+. 2.3.2 Establish partnerships with PIPCT representatives and organizations [e.g. through United Nations Volunteers (UNV) contracts]	- Support to regional work (encompassing all states) and up to 4 selected states, based on progress.	- Work under the GCFTF Regional Committee on Indigenous Peoples and Local Communities.	- Staff time UNDP CO & Carbon Markets. - PIPCT representatives as UNV. - Agreements with PIPCT-led organizations for J-REDD+ monitoring - Mobilization and capacity building as well as dedicated workshops.
3. Learning for Sustainability: Brazilian Amazon States' Political Leaders, PIPCT and technicians' capacities enhanced for informed decision-making on sustainable forest finance strategies. *Contributes to Solution 2 and 3.*	3.1. Learning for and between Political Leaders, PIPCT and state-level and federal government technicians promoted.	**#3.1** Up to four (04) exchanges or learning events on REDD+ finance and implementation organized.	3.1.1 Promote exchanges between Brazilian state governments, PIPCT and other relevant actors.	- Selected Brazilian Amazon States (tbd). - Representatives from federal and state-level entities, including Ministries, legal and oversight bodies. - CAL	- UNDP's High Integrity Carbon Market Toolkit to be deployed for online and in-person courses (in development). - FAS Capacity building course. - Online course on FREL / MRV with Way Carbon (finalized). - In-person training of state technicians in safeguards in the states of AC, AP, TO, MT, MA, and PA. - REDD+ training for technicians from Acre (AC) and Pará (PA), and members of the Acre REDD+ board. - REDD+ training for the Indigenous Peoples of Roraima.	- Staff time UNDP CO & Carbon Markets. - Consultants (safeguards). - Travel & workshop costs.
	3.2. Strengthen the capacities of state and federal actors, as well as PIPCT, for the design and implementation of J-REDD+.	**#3.2** One (01) capacity building program on carbon markets developed	3.2.1 Co-develop and implement with state and national teams a carbon market capacity-building program for technicians from national and subnational governments and other stakeholders, guaranteeing alignment and PIPCT and gender inclusion.	- At least 2 Brazilian Amazon States. - CAL		
	3.3. Partnership building with potential technical partners and investors for J-REDD+ credits fostered.	**#3.3** Buyer-driven engagement strategy developed	3.3.1 Provide technical support to States in the development of outreach materials to inform potential buyers about jurisdictional approaches	- All Brazilian Amazon States. - CAL		
	3.4. Outreach and transparency formats promoted.	**#3.4** One transparency framework or dashboard designed and integrated into existing states or consortium systems.	3.4.1 Co-Develop with States transparency guidelines and legal literacy materials promoting active transparency, including public legal education programs	- All Brazilian Amazon States. - CAL		
	3.5. Communication material on the distinctiveness of jurisdictional REDD+ approaches promoted	**#3.5** At least two (02) knowledge products on jurisdictional REDD+, safeguards, or forest finance published	3.5.1 Develop knowledge products and/ or communication material on the distinctiveness of jurisdictional approaches 3.5.2 Engage with media outlets on the distinctiveness of J-REDD+	- All Brazilian Amazon States. - CAL - Civil society partners		

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24. **The results framework must show how the project contributes to reaching one or more of the outcomes set out in the initiative's strategic framework (see Appendix 1 in the grant scheme rules).**

With the above-outlined results framework, FOLHA contributes as follows to the following NICFI outcomes:

NICFI Outcome	Contributing FOLHA Outcome
• Approved and implemented policies for sustainable forest and land use in tropical forest countries and jurisdictions	Dialogues for Alignment
• Improved rights and livelihoods for indigenous peoples and local communities in tropical forest countries	Safeguards for Inclusivity
• Effective international incentive structures for reduced deforestation in tropical forest countries	Robustness for Compliance

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FRAMEWORK AGREEMENT IN THE FIELD OF DEVELOPMENT COOPERATION

BETWEEN

THE GOVERNMENT OF NORWAY

AND

UNITED NATIONS DEVELOPMENT PROGRAMME

Docusign Envelope ID: 85759DFF-64DE-406F-935B-639C6C4B5D27

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The Government of Norway (hereinafter referred to as "Norway") and the United Nations Development Programme (hereinafter referred to as "UNDP"), (hereinafter collectively referred to as the "Parties"), desiring to further strengthen their cooperation for the purpose of implementing activities which are consistent with and supportive of UNDP's mandate and Strategic Plan, including organisation-wide results framework, hereby agree as follows:

ARTICLE I
Scope and Objectives

1. This Framework Agreement covers non-core contributions, as described in Article II, from the Ministry of Foreign Affairs (hereinafter referred to as "the Ministry"), from the Norwegian Agency for Development Cooperation (Norad), and other Ministries and their subordinate agencies if deemed relevant to UNDP, setting forth the general terms and conditions for such support. Contribution to UNDP's regular resources is not to be covered under this Framework Agreement. This Framework Agreement shall replace the previous Framework Agreement in the field of Development Cooperation between the Norway and UNDP dated 2 December 2003.

2. Programme activities under this Framework Agreement shall be selected, designed and implemented in line with the Strategic Plan approved by the Executive Board of UNDP as well as the relevant policy decisions and guidelines. Thematic support (Type A, below) and other multi-donor support (Type B, below) are preferred modalities for support.

3. Programme activities to be implemented under this Framework Agreement through non-core contributions, in accordance with the directives outlined by the Executive Board of UNDP, are:

   A: Thematic support at global, regional or country level (Type A: support),

   B: Earmarked contributions to programmes/projects funded by Norway together with other donors e.g. through multi-donor trust funds or other co-financing modalities (Type B: support),

   C: Earmarked contributions to programmes/projects funded by Norway as single-donor (Type C: support).

4. The Parties will enter into a separate agreement for each specific contribution, setting forth the specific terms and procedures for such contribution.

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Type A: thematic support at global level will be regulated in a Programme Cooperation Agreement (PCA), while Type A: thematic support at regional and country level will be regulated in a specific agreement ("specific agreement").

Type B: support and Type C: support will be regulated in a specific agreement based on agreed templates unless covered by the PCA. A PCA may also include global "Type B" and "Type C: support".

5. The Parties shall strive to ensure that there are no discrepancies between this Framework Agreement and the PCA or the specific agreement. In exceptional cases, the Parties may agree to deviate from specific clauses in the Framework Agreement. If such discrepancies do occur, then the terms of the PCA or specific agreement shall prevail. Should such discrepancies be unintentional, the Parties shall in good faith consult, and if necessary make the required amendment to the PCA/specific agreement.

6. The overall objective of this Framework Agreement is to support the realization of international development goals in developing countries through the work of UNDP and based on the guidance provided in the Quadrennial Comprehensive Policy Review (QCPR). A specific results framework will be included in each PCA/specific agreement.

7. UNDP shall receive and administer support under this Framework Agreement in accordance with UNDP's financial regulations and rules, applicable resolutions of the General Assembly and decisions of UNDP's Executive Board.

ARTICLE II
Contribution

1. Norway shall, subject to Parliamentary appropriations, and on the terms and procedures set out or referred to herein and in the relevant PCA/specific agreement, make available financial contributions to be used exclusively to finance the activities described in or referred to in the PCA/specific agreement.

   Based on proposals from UNDP, Norway shall, in the PCA also include the distribution among result areas in the Strategic Plan.

2. Contributions will cover, in addition to direct costs of the activities concerned, expenses for administrative and technical backstopping at the level approved by the Executive Board (the cost-recovery rate). The level will be reflected in the PCA/specific agreement as well as in the financial statements.

3. Disbursement of the contributions will be undertaken upon written requests from UNDP in instalments based on the documented financial need of the activities and

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shall take into account that payment shall be made in advance of the implementation of planned activities. Instalments will take place annually or more frequently as agreed in the PCA/specific agreement.

A: for Type A: Support, contributions shall, unless otherwise agreed, be disbursed to UNDP's General Account upon receipt and assessment of relevant reports as referred to in Articles V, Clause 4 and VI, Clause 1, a)

B: for Type B: Support, contributions shall be disbursed to an account specified in the specific agreement upon request from UNDP and receipt and assessment of relevant reports by Norway, as referred to in Articles V, Clause 5, as well as VI, Clause 1, a)

C: for Type C: Support, contributions shall be disbursed to an account specified in the specific agreement upon request from the Organisation and receipt and assessment of relevant reports by Norway, as referred to in Articles V, Clause 6 , and VI, Clause 1, a)

4. Norwegian contributions shall be made and transferred in Norwegian Kroner (NOK). UNDP shall acknowledge in writing receipt of contributions in Norwegian Kroner as well as the equivalent amount in US dollars at the United Nation operational rate of exchange applicable on the date of each transaction.

5. UNDP shall administer and account for the funds in accordance with its Financial Regulations and Rules. All financial commitments and expenditure incurred by UNDP with respect to activities financed under this Framework Agreement shall be expressed in US dollars at the United Nations operational rate of exchange applicable on the date of each transaction.

6. The Parties agree that interest resulting from any contribution hereunder shall be retained by the UNDP and form part of its regular resources, as provided under UNDP's Financial Regulations and Rules.

ARTICLE III
Programming of Funds

1. Contributions to activities under Article I, Clause 3, A shall be allocated on the basis of the organisation-wide or country-specific results framework, as applicable.

2. Contributions to activities under Article I, Clause 3, B or C shall be allocated on the basis of programme/project-documents/proposals or similar submitted by UNDP

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3. The programme/project-documents/proposals shall contain a results framework and an accompanying description of risk management. UNDP shall prepare annual results-based work plans and results and cost-based budgets. The plans and budgets shall be discussed with Norway as specified in the PCA/specific agreement. For global type A support the work plan shall consist of the organisation-wide results framework.

4. Activities at country level shall, unless otherwise agreed, be in line with national priorities and plans as reflected in the United Nations Development Assistance Framework or other relevant framework. The Parties agree that the relevant programme country government should, whenever relevant, include any transfer of cash by UNDP to the government, which is financed from contributions made hereunder, in its national budget.

5. To maximize the development effectiveness of activities to be financed under the Framework Agreement, UNDP shall participate in joint analysis and reviews undertaken by the UN Country Team, and pursue collaboration and partnerships with relevant development partners, regardless of source of funding.

ARTICLE IV
Monitoring, Review and Evaluation

1. Norway and UNDP shall promptly inform each other about any condition/event/situation which interferes or threatens to interfere with the successful implementation of any activity financed in full or in part by Norway.

2. UNDP shall be responsible for the monitoring and regular review of activities carried out under this Framework Agreement. For activities under Article I, Clause 3, B and C above, the cost of monitoring and review shall constitute a direct cost to the programme and, therefore, will be paid from the relevant contribution.

3. The evaluation of programmes hereunder shall be subject to the provisions of the UNDP Evaluation Policy as from time to time approved or amended by UNDP's Executive Board.

4. Unless otherwise decided by UNDP's Executive Board, final evaluation reports and management responses, as applicable, are publicly disclosed by UNDP at http://www.UNDP.org/evaluation/ (or at such other URL as UNDP from time to time may decide).

5. UNDP shall each year, in connection with the annual consultations referred to in Article XIII below, inform Norway about the schedule for reviews and evaluations planned for the following twelve (12) months.

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6. Terms of reference for reviews of types B and C: activities, shall be forwarded to Norway at least four (4) weeks in advance for comments. Norway may be invited to participate in the review activities.

7. UNDP shall forward without undue delay to Norway any review and/or evaluation report pertaining to activities funded under this Framework Agreement, or inform Norway that such report is available at UNDP's website, as referred to in Clause 4 above.

8. Norway may, separately or jointly with other partners, take the initiative to evaluate or review its cooperation with UNDP under this Framework Agreement, with a view to determining whether results are being or have been achieved and whether contributions have been used for their intended purposes. UNDP shall be informed about such initiatives and may be invited to join. UNDP shall upon request assist in providing relevant information within the limits of its regulations and rules. All major costs shall be borne by Norway, unless otherwise agreed.

ARTICLE V
Progress Reporting on Results and Finances

1. UNDP shall prepare progress reporting on results and finances. The reporting shall cover the entire activity, not only the Norwegian contribution and display status compared to the previous period.

2. Progress reporting on results shall:
   - compare actual outputs with planned outputs and analyse how these outputs contribute to expected outcomes of the programme/project activities.
   - include information on how financial resources have been distributed among the outcomes and outputs achieved, based on the format of UNDP's organization-wide, country specific or programme/project specific results framework, as applicable,
   - explain major deviations from plans and problems encountered,
   - include a brief account of materialised internal and external risk factors to the programme/project and how these have been handled, and
   - include other information, as relevant, related to the successful implementation of the activities.

3. Progress reporting on finances shall include;
   - For type A support; Information on allocations from regular resources and all forms of other resources as well as an overview of the amount received in thematic funding per donor as well as the total amount of cost recovery.
   - For type B support; Information on income from all donors and actual expenditure including the total amount of cost recovery for the previous

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calendar year comparable to the approved cost-based budget for such previous period.

- For type C support; Information on the amounts received from the contribution and actual expenditure including the total amount of cost recovery for the previous calendar year compared to the approved cost-based budget for such previous period.

4. For activities under Article I, Clause 3, A above, UNDP shall provide the above specified information in annual thematic reports at the appropriate level.

5. For multi-donor activities under Article I, Clause 3, B above, UNDP shall provide the above specified information in common annual reports.

6. For single-donor activities under Article I, Clause 3, C above, UNDP shall provide the above specified information in an annual report one month before the annual consultation, or if such a meeting is not held, by 30 of June each calendar year, but in no case earlier than 31 March of each calendar year.

7. For activities under Article I, Clause 3, B and C above, UNDP shall prepare a final report no later than six (6) months after programme/project completion or termination of the specific agreement. The final report shall give a summary of actual outputs compared to planned outputs for the entire period and analyse how these outputs contribute to the outcomes and impact of the programme/project and reporting on finances as referred to in Clause 3 above.

8. Norway may request additional information at any time. Such information shall be provided in a timely manner, and to the extent possible within 30 days of the request.

ARTICLE VI
Certified Financial Statements

1. For all Norwegian contributions under this Framework Agreement, UNDP will submit to Norway:

   (a) One annual financial statement with regard to the Contribution certified by an authorized official of UNDP as of 31 December of the year in question, to be submitted no later than 30 June of the following year;

   (b) For B and C support; One final financial statement certified by an authorized official of UNDP to be submitted no later than 30 June following the year in which the programme/project was financially completed.

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2. All statements referred to under this Article will be expressed in US dollars. In the event that other donors contributed to the Programme (e.g. in the case of Type B: support), the statements will be consolidated, specifying the Contribution from each donor.

ARTICLE VII
Audit

1. External and Internal Audit of UNDP:

Any contribution transferred hereunder shall be subject exclusively to the provisions on external and internal audit provided for in UNDP's Financial Regulations and Rules as well as its financial policies and procedures.

2. Audit of Implementing Partners:

The part of any contribution transferred by UNDP to implementing partners for activities towards the implementation of the programme shall be audited as provided under UNDP's Financial Regulations and Rules as well as its financial policies and procedures. Such audits are performed by external audit professionals designated by UNDP or by a government's supreme audit institution. It is understood that the external audit professionals may be either individual or corporate persons (such as an audit or accounting firm).

3. Disclosure of external audit reports prepared by the United Nations Board of Auditors on the audit of the financial statements of UNDP:

(a) The reports on the audits of the financial statements of UNDP by the United Nations Board of Auditors become public documents by reason of being transmitted through the Advisory Committee (*i.e.* the Advisory Committee on Administrative and Budgetary Questions, or "ACABQ") to the United Nations General Assembly.

(b) External audit reports of UNDP will be publicly disclosed by the United Nations Board of Auditors at http://www.un.org/en/auditors/board/reports.shtml, or at such other URL as the Board of Auditors may from time to time decide and at the website of UNDP's Executive Board.

4. Disclosure of Internal Audit Reports:

4.1 Unless the Executive Board otherwise decides, internal audit reports shall be publicly disclosed by UNDP's Director, Office of Audit and Investigation, subject to the limitations contained in the relevant decisions of the Executive Board.

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4.2 Internal audit reports shall be publicly disclosed by UNDP's Director, Office of Audit and Investigation at http://audit-public-disclosure.undp.org or at such other URL as the Director, Office of Audit and Investigations from time to time may decide.

4.3 For purposes of this Article VII, the term "internal audit report" shall mean the final report resulting from an audit issued by the Director, Office of Audit and Investigation, to the UNDP Administrator and the auditees for their consideration and for the implementation of recommendations, unless otherwise defined in any policy approved by UNDP's Executive Board.

5. Disclosure of Audit Reports concerning Implementing Partners:

5.1. Upon request, UNDP shall provide Norway with summaries of available audit reports that cover programmes implemented by national institutions or NGOs and that are in receipt of funding from Norway.

5.2. Upon written requests, audit reports prepared by external audit professionals designated by UNDP and audit reports prepared by a government's supreme audit institution, on the activities of an implementing partner towards the implementation of programmes, will be disclosed by UNDP to Norway. Such written requests shall be in a standard form and format as from time to time decided by UNDP.

5.3 It is understood that disclosure as provided in Clause 5.2 of this Article shall be contingent upon UNDP having obtained written consent to such disclosure from the Implementing Partner.

6. Norway may reasonably request additional explanations or consultations at any time. Additional explanations shall be provided in a timely manner and, to the extent possible within 30 days of the request, subject to UNDP's regulations, rules, policies and procedures.

ARTICLE VIII
Procurement

In implementing the activities financed under this Framework Agreement, UNDP shall effect all procurement of goods and services in accordance with UNDP's policies, rules and procedures for procurement. UNDP will engage in international competitive bidding as its preferred procurement method and, make reasonable efforts to encourage the participation of local suppliers in the relevant developing countries when procuring goods and services.

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ARTICLE IX
Conflict of Interests

1. The Parties agree that it is important to take all necessary precautions to avoid conflicts of interest and corrupt practices. To this end, UNDP shall continue to maintain standards of conduct that govern the performance of its staff, including the prohibition of conflicts of interest and corrupt practices in connection with the award and administration of contracts, grants, or other benefits, as set forth in the Staff Regulations and Rules of the United Nations, the UNDP's Financial Regulations and Rules, and UNDP's Procurement Procedures.

2. In accordance with United Nations Staff Regulation 1.2, a "conflict of interest occurs when, by act or omission, a staff member's personal interests interfere with the performance of his or her official duties and responsibilities or with the integrity, independence and impartiality required by the staff member's status as an international civil servant. When an actual or possible conflict of interest does arise, the conflict shall be disclosed by staff members to their head of office, mitigated by the Organization and resolved in favour of the interests of the Organization."

ARTICLE X
Recognition

UNDP shall make every effort to ensure that publications and communications hereunder, press releases or other information material issued by UNDP with respect to any activity financed under the Framework Agreement, clearly indicate that the activities in question have received funding from Norway in all cases subject to security and safety considerations of UNDP.

ARTICLE XI
Property and Equipment

The ownership of assets paid for in whole or in part from the contributions under this Agreement shall be determined by reference to UNDP's regulations, rules, policies and procedures. The transfer of ownership of such assets by UNDP shall also be done in accordance with UNDP's regulations, rules, policies and procedures, and, as applicable, in accordance with UNDP's agreements concluded with the relevant host Government and any implementing partners.

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ARTICLE XII
Public Access to Intellectual Property

1. Unless otherwise provided for in UNDP's regulations, rules, policies and procedures or UNDP's agreements concluded with the relevant host Government and/or any implementing partners, intellectual property produced as a result of activities financed in whole or (without prejudice to other funding arrangements) in part from contributions under this Agreement, shall be managed in a way that maximizes their public accessibility and allows the broadest possible use. Published reports produced as a result of activities financed in whole or in part from contributions under this Agreement shall, as far as possible and appropriate, be placed in the public domain for non-commercial use.

2. Transfer of ownership of such intellectual property by UNDP shall be done in accordance with UNDP's regulations, rules, policies and procedures, and, as applicable, in accordance with UNDP's agreements concluded with the relevant host Government and any implementing partners also in the spirit of securing them as a common global good.

ARTICLE XIII
Annual Consultations

1. For support governed by a PCA, UNDP and Norway shall hold annual consultations. Unless otherwise agreed, UNDP shall convene and chair the consultations, which shall be held at a mutually agreed date and place. UNDP shall draft agreed minutes of the meeting and submit these within three weeks to Norway for comments and approval. Each Party shall bear their own costs for participating in such consultations.

2. The consultations shall deal with the following issues:

   i) exchange of views on major strategic issues;
   ii) review of results of the activities implemented during the previous year, progress and challenges in ongoing activities, as well as the financial situation; and
   iii) review of relevant evaluations.

3. For Type A, B, and C support not covered by a PCA, consultations will be specified in the specific agreement.

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ARTICLE XIV
Financial irregularities

1. The Parties have a zero tolerance for fraud, corruption and any other financial irregularities. The zero tolerance policy applies to all staff members, consultants and other non-staff personnel, institutional contractors, implementing partners and beneficiaries of the grant funds.

2. Both Norway and UNDP are firmly committed to prevent, detect and manage fraud, corruption and other financial irregularities. Consistent with the UN Charter, the Standards of Conduct for the International Civil Service, the United Nations Staff Rules and Regulations, and UNDP's financial rules and regulations and procurement policies, UNDP will use reasonable efforts to ensure that the utilization of the Contribution conforms to the highest standard of ethical conduct and that every part of UNDP, as well as all individuals acting on behalf of UNDP, observe the highest standard of ethics and integrity.

3. UNDP, in accordance with its regulations, rules and directives, will ensure that any allegations of fraud, corruption and other financial irregularities in connection with the implementation of programmes/projects under this Framework Agreement are reported to the Office of Audit and Investigations (OAI) in a timely and accurate manner. Credible allegations will be investigated by OAI and assessed in accordance with its regulations, rules, policies and procedures. OAI will give notification on a confidential basis to the Director of the Foreign Service Control Unit, Ministry of Foreign Affairs of any investigations or action that it is undertaking or proposes to undertake in relation to allegations of fraud, corruption and other financial irregularities involving any activities funded in whole or in part with contributions made under this Framework Agreement, to the extent that such notification is consistent with OAI's regulations, rules, policies and procedures, protecting the probity and confidentiality of investigations and the due process rights of those under investigation. UNDP shall keep the Foreign Service Control Unit regularly informed of results of the implementation of such actions, including where relevant, details of any recovery of funds

4. The Parties may in addition exchange information in accordance with the Memorandum of Understanding between the Foreign Service Control Unit in the Ministry and the OAI of UNDP.

5. Following the conclusion of any investigation which identifies fraud, corruption and other financial irregularities, involving any activities funded in whole or in part with contributions made under this Framework Agreement, UNDP will;

   a) Use reasonable efforts to recover any part of the contributions, which OAI has established as being diverted through fraud or corruption;

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b) In connection with (a) above, in consultation with the UN Office of Legal Affairs, give proper consideration to referring the matter to the appropriate authorities of the UN member state where the fraud, corruption or other financial irregularities is believed to have occurred and;

c) Assess and apply appropriate risk mitigating actions, including the option to withhold payment(s) to operations affected by financial irregularities where the risks of financial irregularities impacting the delivery of results within the activity remains high;

d) Undertake the relevant administrative proceedings through the UNDP Legal Support Office or the Vendor Review Committee, as appropriate;

e) Ensure repayment to Norway in accordance with Article XV, Clause 2 below.

6. In the event that Norway reasonably believes that timely and appropriate action has not been taken, it may request direct consultations at a senior level between Norway and UNDP in order to obtain assurance that UNDP's oversight and accountability mechanisms have been and are being fully applied in connection with such suspicions.

Article XV
Reservations

1. Norway reserves its right to withhold disbursement in full or in part to an activity
   - if affected by credible allegations of fraud, corruption and any other financial irregularities that risk impacting the delivery of results within the activity
   - if contractual and/or reporting and accounting obligations are not met
   - if there are substantial deviations from agreed plans and budgets, if the programmes/projects develops unfavorably in relation to goal and objectives while paying due attention to the risk for not achieving results in complex development situations.

2. In the event that any part of the contribution is determined by UNDP to have been lost due to fraud, corruption or any other financial irregularities, such loss will be dealt with in accordance with the applicable financial regulations, rules, policies, procedures and directives of UNDP. Further, in respect of such amount which UNDP has been able to recover regarding programmes/projects or activities, such amount will be returned to the programme/project or activities for which the contribution was intended, and where the programme/proejct or activities for which the contribution was intended has or have been concluded or terminated, the Parties shall consult to decide whether the amount shall be re-programmed at Norway's instructions or returned to Norway at such bank account as determined by Norway on a pro rata basis as applicable. In respect of such amount that has

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not been recovered, UNDP shall do its utmost to maintain its efforts to recover such funds. UNDP agrees to continue consultations with Norway and members of the Executive Board, with a view of determining a mutually agreeable solution, and in the interim, agree to discuss such issues on a case by case basis.

3. Before withholding any disbursements or claiming repayment, the Parties shall consult with a view to reaching a solution to the matter.

ARTICLE XVI
Final Provisions

1. This Framework Agreement shall enter into force upon signature by both Parties.

2. Nothing in the present Framework Agreement shall be understood as a waiver of the immunities and privileges of the United Nations of which UNDP is an integral part.

3. This Framework Agreement may be amended through an addendum between the Parties, following appropriate consultations.

4. This Framework Agreement shall remain in force unless terminated by mutual agreement, or by one Party providing to the other Party a written notice of termination. Upon termination of this Framework Agreement, and unless otherwise mutually agreed by the Parties, the Framework Agreement shall remain in force with respect to any PCA/specific agreements entered into prior to the termination of the Framework Agreement as long as any of these agreements are in force.

5. Any unspent balance of the total contribution under any PCA or specific agreement that remains after the financial closing of the relevant programme/project or upon termination or completion of the PCA or other agreements financed under this Framework Agreement that total less than NOK 500 (Norwegian Kroner Five Hundred), shall be credited to UNDP's regular resources. Any balance exceeding that amount shall be returned to Norway, net of all fees, charges and foreign exchange losses.

6. Any dispute, which may arise between the Parties as to the interpretation, application or performance related to this Framework Agreement or any PCA/specific agreement under this Framework Agreement, shall be settled by negotiation.

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IN WITNESS WHEREOF the undersigned, acting on behalf of their respective Parties, have signed this Framework Agreement in two originals in the English language.

Done in Oslo, 14 December 2015

For the Government of Norway

For the United Nations Development Programme

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Annex III Sexual Exploitation and Abuse and Sexual Harassment

1.

a. Sexual exploitation and abuse

The Donor and the Partner have a zero tolerance for inaction approach to tackling sexual exploitation and abuse ("SEA").[1] This means the Partner and its implementing partners will take all reasonable steps to prevent SEA by both its employees and any implementing partner and respond appropriately when reports of SEA arise, in accordance with their regulations, rules, policies and procedures.

Unless inconsistent with a specific regulation, rule, policy or procedure governing the Partner, the Partner will apply the IASC Six Core Principles Relating to Sexual Exploitation and Abuse and the following principles and practices when implementing the activities under this Agreement and provide evidence to demonstrate this where required:

a) Adherence to the IASC-Minimum Operation Standards on "Protection from sexual exploitation and abuse by own personnel" and/or [the] SEA elements of the Core Humanitarian Standard on Quality and Accountability;
b) A victim/survivor-centred approach[2] to SEA issues;
c) Strong leadership and signaling on tackling SEA;
d) Make all reasonable efforts to address gender inequality and other power imbalances;
e) Reporting to enhance accountability and transparency;
f) Ensure that SEA standards from this arrangement are reflected in funding templates with implementing partners, [for UN entities: by means such as, but not limited to, adherence to the United Nations Protocol on Allegations of Sexual Exploitation and Abuse Involving Implementing Partners].

b. Sexual harassment

The Donor and the Partner have a zero tolerance for inaction approach to tackling sexual harassment ("SH").[3]This means the Partner will take all reasonable steps to prevent SH and respond appropriately when reports of SH arise, in accordance with its regulations, rules, policies and procedures.

Unless inconsistent with a specific regulation, rule, policy or procedure governing the Partner, the Partner will apply the following principles and practices when implementing the activities under this Agreement:

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[1] See UNSG Bulletin ST/SGB/2003/13 for the definition of sexual exploitation and abuse.

[2] A victim/survivor centered-approach is one for which the victim/survivor's dignity, experiences, considerations, needs, and resiliencies are placed at the center of the process, from the initial program design to investigating and responding to potential incidents. Consistent with the UN Protocol on Allegations of SEA Involving Implementing Partners, the victim/survivor should be informed, consulted during the decision-making process, and provide consent on the possible use and disclosure of their information. Those interacting with the victim/survivor and/or handling information regarding the allegation will maintain confidentiality, ensure safety of the victim/survivor, and apply victim/survivor-centered principles which are safety, confidentiality, respect, and non-discrimination. When the victim/survivor is a child, the approach will consider the best interests of the child and engage with the family/caregivers as appropriate. Staff and partners should comply with host country and local child welfare and protection legislation and international standards, whichever gives greater protection.

[3] See the UN System Model Policy on Sexual Harassment and the UN Secretary-General's Bulletin ST/SGB/2019/8 for the uniform definition of sexual harassment in the UN System.

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a) A victim/survivor-centred approach to SH issues;
b) Strong leadership and signaling on tackling SH;
c) Make all reasonable efforts to address gender inequality and other power imbalances;
d) Reporting to enhance accountability and transparency;

2. The Partner will adhere to the following requirements:

a. Allegations of SEA

(i) The Partner will promptly report all allegations of SEA credible enough to warrant an investigation through the Secretary-General's reporting mechanism (the "Report").

(ii) When the Partner reports an allegation of SEA to, or becomes aware of an allegation reported through, the Report that is (i) directly related to the activities funded by this Agreement or, (ii) would have a significant impact on the partnership between the Partner and the Donor, the Partner will promptly notify [Donor point of contact] of the report made and the relevant Agreement number, if applicable and provide information containing the level of detail that the Partner is aware of.

(iii) Upon request from the Donor, the Partner agrees to provide further available relevant information the Partner is aware of for allegations notified under paragraph 2a (ii) including about subsequent measures taken by the Partner, unless disclosure of such information would be inconsistent with the Partner's regulations, rules, policies and procedures concerning disclosure of information

b. Allegations of SH

(i) The Partner will report allegations of sexual harassment and measures taken through existing reporting mechanisms.

(ii) Where the Partner has determined that the allegations would have a significant impact on the partnership between the Partner and the Donor, the Partner will promptly notify [Donor point of contact] and provide information containing the level of detail of the existing reporting mechanisms.

(iii) Upon request from the Donor, the Partner agrees to provide further available relevant information, that the Partner is aware of unless disclosure of such information would be inconsistent with the Partner's regulations, rules, policies and procedures concerning disclosure of information.

3. It is understood and accepted that the Partner's arrangement to report on SEA and SH will be performed in accordance with the Partner's regulations, rules, policies and procedures, including its rules on confidentiality, and is subject to not compromising the safety, security, privacy and due process rights of any concerned persons.

4. When the Partner becomes aware of reasonable suspicions, complaints or reports of SEA or SH by its personnel, the Partner will, as appropriate under its regulations, rules, policies, and procedures, take reasonable, swift and appropriate action to stop harm occurring, investigate and report to relevant authorities (for potential criminal matters), as appropriate and when safe to do so, after considering the wishes of the victim/survivor.

5. The Donor or any of its duly authorized representatives may, in accordance with agreed mutually accepted terms of reference, carry out reviews or evaluations or other assessment measures to verify the Partner's zero tolerance for SEA and SH, provided that such measures are consistent with the single audit principle governing the UN, if applicable. The Partner will fully cooperate within the scope of the terms of reference with any such reasonable requests by the Donor or any of its duly authorized representatives or agents to carry out such measures.

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6. Any information or documentation provided in accordance with these provisions will be treated by the Donor with utmost discretion in order to ensure, *inter alia*, the probity of any investigation, protect sensitive information, ensure the safety and security of persons and respect the due process rights of all involved. The Donor will presume information/documentation to be confidential, deliberative, and investigatory and will ensure that information/documentation provided to the Donor will be available solely to those who strictly require access to such information/documentation. Any disclosure of such information/documentation beyond such personnel will require notification and consultation with the Partner. The Donor will obtain the express written authorization of the Partner before disclosing any such information/documentation in a judicial proceeding or to the public, unless disclosure is otherwise required by law applicable to the Donor and is not subject to the Partner's privileges and immunities under international and/or national law (such as information/documentation constituting UN archives).

7. Unless the regulations, rules, policies, and procedures applicable to the Partner are amended at an earlier stage, and/or there are other substantive changes to any of the referenced policies, processes or mechanisms, the above provisions are subject to possible review two years after the date of the Donors' mutual decision on their text with the Partner. Any changes to the above provisions that may be mutually decided following such a review will take effect at least four years after the date of the Donors' mutual decision on the present text with the Partner, until which time the above provisions will continue to apply.

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ANNEX IV – COORDINATION LEVY

The present Annex is an integral part of the Specific Agreement between the United Nations Development Programme and the Norwegian Ministry of Climate and Environment on the Project "Forest Finance Leveraged for High Integrity in the Amazon – FOLHA".

Pursuant to paragraph 10(a) of United Nations General Assembly Resolution A/RES/72/279 (31 May 2018), the Donor agrees that an amount corresponding to 1% of the total contribution to UNDP shall be paid to finance the United Nations Resident Coordinator System. This amount, hereinafter referred to as the "coordination levy" will be held in trust by UNDP until transfer to the United Nations Secretariat for deposit into the United Nations Special Purpose Trust Fund, which has been established to finance the United Nations Resident Coordinator System and is managed by the United Nations Secretariat.

The Donor acknowledges and agrees that once the coordination levy has been transferred by UNDP to the United Nations Secretariat, UNDP is not responsible for the use of the coordination levy and does not assume any liability. The fiduciary responsibility lies entirely with the United Nations Secretariat as the manager of the Resident Coordination System.

The Donor acknowledges and agrees the coordination levy does not form part of UNDP's cost recovery and is additional to the costs of UNDP to implement the activity or activities covered by the contribution. Accordingly, there is no obligation for UNDP to refund the levy, in part or in full, even where the activities covered by the contribution are not carried out in full by UNDP. As deemed necessary by the donor, however, especially where the scale of the resources concerned or reputational risk justify the refund transaction costs, the donor can submit a request for refund to the United Nations Secretariat directly.

UNDP will not administer the coordination levy. UNDP will not report on the use of the coordination levy. UNDP does not have any liability on the use of the coordination levy by the United Nations Secretariat. Clarifications on the administration, reporting and/or use of the coordination levy by the United Nations Secretariat shall be discussed by the Donor and the United Nations Secretariat on bilateral basis.

A Contribution of 81'839'255 NOK is made under the Agreement. In addition to the Contribution amount, the Donor is paying a coordination levy amount of 810' 290 NOK. This coordination levy amount will be transferred to UNDP at the bank account indicated in the Agreement immediately after its signature.

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Certificate Of Completion

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Agent Delivery Events	**Status**	**Timestamp**
Intermediary Delivery Events	**Status**	**Timestamp**
Certified Delivery Events	**Status**	**Timestamp**
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Witness Events	**Signature**	**Timestamp**
Notary Events	**Signature**	**Timestamp**

Envelope Summary Events	Status	Timestamps
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Certified Delivered	Security Checked	12/18/2025 5:32:17 AM
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Completed	Security Checked	12/18/2025 5:32:28 AM

Payment Events	Status	Timestamps